

## MANN PAIRS

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ABSTRACT. Mann proved in the 1960s that for any  $n \geq 1$  there is a finite set  $E$  of  $n$ -tuples  $(\eta_1, \dots, \eta_n)$  of complex roots of unity with the following property: if  $a_1, \dots, a_n$  are any rational numbers and  $\zeta_1, \dots, \zeta_n$  are any complex roots of unity such that  $\sum_{i=1}^n a_i \zeta_i = 1$  and  $\sum_{i \in I} a_i \zeta_i \neq 0$  for all nonempty  $I \subseteq \{1, \dots, n\}$ , then  $(\zeta_1, \dots, \zeta_n) \in E$ . Taking an arbitrary field  $\mathbf{k}$  instead of  $\mathbb{Q}$  and any multiplicative group in an extension field of  $\mathbf{k}$  instead of the group of roots of unity, this property defines what we call a Mann pair  $(\mathbf{k}, \Gamma)$ . We show that Mann pairs are robust in certain ways, construct various kinds of Mann pairs, and characterize them model-theoretically.

### 1. INTRODUCTION

Throughout this paper we use the following notations and conventions. We let  $\Omega$  be an ambient algebraically closed field (taken to be  $\mathbb{C}$  if suggested by the context), and let  $\Gamma$  be a subgroup of the multiplicative group  $\Omega^\times$ . We say that  $\Gamma$  has *finite rank* if  $\Gamma$  has a finitely generated subgroup  $\Gamma_0$  such that  $\Gamma/\Gamma_0$  is a torsion group. We let  $m, n$  range over  $\mathbb{N} = \{0, 1, 2, \dots\}$ . For  $r \in \Omega$  and  $\vec{s} = (s_1, \dots, s_n) \in \Omega^n$  we put  $r\vec{s} := (rs_1, \dots, rs_n) \in \Omega^n$ . In particular, if  $\alpha \in \Gamma$  and  $\vec{\gamma} \in \Gamma^n$ , then  $\alpha\vec{\gamma} \in \Gamma^n$ . We let  $\mathbb{U} \subseteq \mathbb{C}^\times$  be the group of complex roots of unity, and  $\mathbb{Q}^{\text{ac}} \subseteq \mathbb{C}$  the field of complex algebraic numbers.

Let  $a_1, \dots, a_n \in \Omega$ ,  $n \geq 1$ , and consider the equation

$$(*) \quad a_1 x_1 + \dots + a_n x_n = 1.$$

A *solution* of  $(*)$  is a tuple  $(s_1, \dots, s_n) \in \Omega^n$  such that  $a_1 s_1 + \dots + a_n s_n = 1$ ; such a solution is said to be *nondegenerate* if  $\sum_{i \in I} a_i s_i \neq 0$  for all nonempty  $I \subseteq \{1, \dots, n\}$ , and is said to be in  $\Gamma$  if  $(s_1, \dots, s_n) \in \Gamma^n$ .

In [5] we defined  $\Gamma$  to have the *Mann property* if for all  $n \geq 1$  and nonzero  $a_1, \dots, a_n$  in the prime field of  $\Omega$  the equation  $(*)$  has only finitely many non-degenerate solutions in  $\Gamma$ ; equivalently, for all  $n \geq 1$  and  $a_1, \dots, a_n \in \Omega^\times$  the equation  $(*)$  has only finitely many non-degenerate solutions in  $\Gamma$ , see [5]. The Mann property is a Mordell-Lang type property. Mann [12] gave an effective proof that  $\mathbb{U}$  has the Mann property. More generally, but less effectively, [6], [11], and [14] show that if  $\Omega$  has characteristic zero and  $\Gamma$  has finite rank, then  $\Gamma$  has the Mann property. In [5] we studied the model theory of structures  $(\Omega, \Gamma)$  when  $\Gamma$  has the Mann property. We now define a *uniform* version of the Mann property involving also a subfield of  $\Omega$ . From now on  $\mathbf{k}$  is a subfield of  $\Omega$ .

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Call  $(\mathbf{k}, \Gamma)$  a *Mann pair* if for each  $n \geq 1$  there is a finite  $E \subseteq \Gamma^n$  such that for all  $a_1, \dots, a_n \in \mathbf{k}^\times$  all non-degenerate solutions of  $(*)$  in  $\Gamma$  lie in  $E$ .

Suppose  $(\mathbf{k}, \Gamma)$  is a Mann pair. It is obvious that then  $\Gamma$  has the Mann property and that if  $\mathbf{k}'$  is a subfield of  $\mathbf{k}$  and  $\Gamma'$  a subgroup of  $\Gamma$ , then  $(\mathbf{k}', \Gamma')$  is also Mann pair. Taking  $n = 1$  in the definition we see that  $\mathbf{k}^\times \cap \Gamma$  is finite, and so all elements of  $\mathbf{k}^\times \cap \Gamma$  are roots of unity.

Actually, Theorem 1 of [12] implies that  $(\mathbb{Q}, \mathbb{U})$  is a Mann pair; conversely, Corollary 5.1 below says that if  $(\mathbb{Q}, \Gamma)$  is a Mann pair and  $\Gamma \subseteq (\mathbb{Q}^{\text{ac}})^\times$ , then  $\Gamma \subseteq \mathbb{U}$ . Thus  $(\mathbb{Q}, (1 + \sqrt{2})^\mathbb{Z})$  is not a Mann pair, although  $(1 + \sqrt{2})^\mathbb{Z} \subseteq (\mathbb{Q}^{\text{ac}})^\times$  has the Mann property and  $\mathbb{Q}^\times \cap (1 + \sqrt{2})^\mathbb{Z} = \{1\}$ . In Section 2 we indicate simple examples of Mann pairs, such as:

- (1)  $(\mathbb{Q}^{\text{ac}}, \exp(\mathbb{Q}^{\text{ac}}))$  is a Mann pair (Lindemann's theorem).
- (2) If  $v : K^\times \rightarrow v(K^\times)$  is a valuation on a subfield  $K$  of  $\Omega$  and  $\mathbf{k}$  is a subfield of  $K$  and  $\Gamma$  a subgroup of  $K^\times$  such that  $v$  is trivial on  $\mathbf{k}$  and injective on  $\Gamma$ , then  $(\mathbf{k}, \Gamma)$  is a Mann pair.

A more substantial source of Mann pairs is the following:

**Theorem 1.1.** *Suppose  $\Omega$  has characteristic zero,  $\mathbf{k}$  is algebraically closed,  $\mathbf{k}^\times \cap \Gamma = \{1\}$ , and  $\Gamma$  has finite rank. Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

This is an analogue for Mann pairs of the result from [6], [11], [14] that was mentioned earlier. In Section 3 we first deal with the special case that  $\Gamma$  is finitely generated and  $\mathbf{k}(\Gamma)$  has transcendence degree 1 over  $\mathbf{k}$ ; the key here is a height bound by Brownawell and Masser [3]. With this special case as a stepping stone and using various facts established in Sections 4 and 5 we prove Theorem 1.1 in Section 6. This proof is effective, at least in principle, but we do not elaborate this aspect in the present paper. Section 5 also describes some curious Mann pairs in positive characteristic as well as some Mann pairs  $(\mathbb{Q}_p, \Gamma)$  obtained by Teichmüller lifting.

Next we consider the structure  $(\Omega, \mathbf{k}, \Gamma)$ , that is, the algebraically closed field  $\Omega$  with distinguished subsets  $\mathbf{k}$  and  $\Gamma$ . In Sections 4 and 7 we show:

**Theorem 1.2.** *The following are equivalent:*

- (1)  $(\mathbf{k}, \Gamma)$  is a Mann pair;
- (2) for each  $n \geq 1$  the set  $\{(x, y) \in \mathbf{k}^n \times \Gamma^n : x_1 y_1 + \dots + x_n y_n = 0\}$  is a finite union of sets  $X \times Y$  where  $X \subseteq \mathbf{k}^n$  is definable in the field  $\mathbf{k}$  and  $Y \subseteq \Gamma^n$  is definable in the group  $\Gamma$ ;
- (3) for all  $m, n$ , every subset of  $\mathbf{k}^m \times \Gamma^n$  definable in  $(\Omega, \mathbf{k}, \Gamma)$  is a finite union of sets  $X \times Y$  with  $X \subseteq \mathbf{k}^m$  definable in the field  $\mathbf{k}$  and  $Y \subseteq \Gamma^n$  definable in the group  $\Gamma$ .

Here and below, “definable” means “definable with parameters” unless we specify otherwise. Thus (3) says that the structure induced by  $(\Omega, \mathbf{k}, \Gamma)$  on  $\mathbf{k}$  and  $\Gamma$  (using parameters from  $\Omega$ ) is just the field structure of  $\mathbf{k}$  and the group structure of  $\Gamma$ , respectively, and that these two structures only interact trivially inside  $(\Omega, \mathbf{k}, \Gamma)$ .

In Section 8 we prove that for Mann pairs  $(\mathbf{k}, \Gamma)$  with  $[\Omega : \mathbf{k}] > 2$ , the complete theory  $\text{Th}(\Omega, \mathbf{k}, \Gamma)$  is determined by  $\text{Th}(\mathbf{k})$  and  $\text{Th}(\Gamma)$  after adding names for enough elements of  $\mathbf{k}$  and  $\Gamma$  to witness that  $(\mathbf{k}, \Gamma)$  is a Mann pair. Under the additional

assumption that  $\mathbf{k}$  is algebraically closed we show that  $(\Omega, \mathbf{k}, \Gamma)$  is stable, and we make further observations along these lines.

We let  $\mathbf{k}^{\text{ac}}$  be the algebraic closure in  $\Omega$  of any subfield  $\mathbf{k}$  of  $\Omega$ .

*Acknowledgement.* The referee has pointed out that Theorem 1.1 also follows from Hrushovski-Pillay [7]. At the end of Section 6 we indicate how. The referee also mentioned that Sections 7 and 8 are related to earlier work by Casanovas and Ziegler [4] and by Pillay [13].

## 2. SOME EXAMPLES OF MANN PAIRS

It will be useful to consider also *homogeneous* linear equations. Let  $n \geq 1$  and let  $a_1, \dots, a_n \in \Omega$ . A *solution* of the homogeneous linear equation

$$a_1x_1 + \dots + a_nx_n = 0$$

is a tuple  $(s_1, \dots, s_n) \in \Omega^n$  such that  $a_1s_1 + \dots + a_ns_n = 0$ ; such a solution is said to be *non-degenerate* if  $\sum_{i \in I} a_i s_i \neq 0$  for all nonempty proper subsets  $I$  of  $\{1, \dots, n\}$ , and is said to be in  $\Gamma$  if  $(s_1, \dots, s_n) \in \Gamma^n$ .

**2.1. Alternative definition of Mann pairs.** The equivalence of (1) and (2) in the next lemma expresses being a Mann pair in terms of homogeneous linear equations. Assuming  $\mathbf{k}^\times \cap \Gamma$  is finite, the equivalence between (1) and (3) expresses  $(\mathbf{k}, \Gamma)$  being a Mann pair purely in terms of the subgroup  $G = \mathbf{k}^\times \Gamma$  of  $\Omega^\times$  generated by  $\mathbf{k}^\times$  and  $\Gamma$ .

**Lemma 2.1.** *Let  $G = \mathbf{k}^\times \Gamma$ . Then the following are equivalent:*

- (1)  $(\mathbf{k}, \Gamma)$  is a Mann pair;
- (2) for each  $n \geq 1$  there is a finite  $\Gamma(n) \subseteq \Gamma^n$  such that each non-degenerate solution in  $\Gamma$  of each equation  $a_1x_1 + \dots + a_nx_n = 0$  with  $a_1, \dots, a_n \in \mathbf{k}^\times$  lies in  $\alpha\Gamma(n)$  for some  $\alpha \in \Gamma$ ;
- (3)  $\mathbf{k}^\times \cap \Gamma$  is finite, and for each  $n \geq 1$  there is a finite  $G(n) \subseteq G^n$  such that each non-degenerate solution in  $G$  of the equation

$$x_1 + \dots + x_n = 1$$

equals  $(c_1g_1, \dots, c_ng_n)$  with  $c_1, \dots, c_n \in \mathbf{k}^\times$  and  $(g_1, \dots, g_n) \in G(n)$ .

*Proof.* Let  $n \geq 1$ . First note that if  $a_1, \dots, a_n \in \mathbf{k}^\times$  and  $(s_1, \dots, s_n) \in \Omega^n$ , then  $(s_1, \dots, s_n)$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n = 1$  iff  $(s_1, \dots, s_n, 1)$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n - x_{n+1} = 0$ .

Therefore, if (1) holds, witnessed by  $E$  for our given  $n$  as in the definition of *Mann pair* in the Introduction, then (2) holds with

$$\Gamma(n+1) := \{(\gamma_1, \dots, \gamma_n, 1) : (\gamma_1, \dots, \gamma_n) \in E\}.$$

Conversely, if (2) holds, take  $\Gamma(n+1)$  such that  $\gamma_{n+1} = 1$  for every  $(\gamma_1, \dots, \gamma_n, \gamma_{n+1})$  from  $\Gamma(n+1)$ , and then (1) holds with

$$E := \{(\gamma_1, \dots, \gamma_n) : (\gamma_1, \dots, \gamma_n, 1) \in \Gamma(n+1)\}.$$

Next, observe that if (1) holds, witnessed by  $E$  for our given  $n$ , then (3) holds with  $G(n) := E$ . Conversely, if (3) holds, then in (3) we can take  $G(n) \subseteq \Gamma^n$ , and then (1) holds with

$$E := \{(\alpha_1\gamma_1, \dots, \alpha_n\gamma_n) \in \Gamma^n : \alpha_1, \dots, \alpha_n \in \mathbf{k}^\times \cap \Gamma, (\gamma_1, \dots, \gamma_n) \in G(n)\}.$$

□

**Corollary 2.2.** *Suppose  $(\mathbf{k}, \Gamma)$  is a Mann pair, and  $\Gamma'$  is a subgroup of  $\mathbf{k}^\times \Gamma$  such that  $\mathbf{k}^\times \cap \Gamma'$  is finite. Then  $(\mathbf{k}, \Gamma')$  is a Mann pair.*

**2.2. Easy Mann pairs.** These are provided by the next lemma.

**Lemma 2.3.** *Suppose  $\Gamma$  is torsion-free. Then the following are equivalent:*

- (1) *for all  $n \geq 1$  and  $a_1, \dots, a_n \in \mathbf{k}^\times$ , the equation  $a_1x_1 + \dots + a_nx_n = 1$  has no non-degenerate solution in  $\Gamma$  that is different from  $(1, \dots, 1)$ ;*
- (2) *whenever  $n \geq 1$  and  $\gamma_1, \dots, \gamma_n \in \Gamma$  are multiplicatively independent, then they are algebraically independent over  $\mathbf{k}$ .*

*If these conditions are satisfied, then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Apply Lemma 8.2 in [5] (but  $\Gamma, E, G$  in that lemma are  $\{1\}$ ,  $\mathbf{k}, \Gamma$  in the present lemma).  $\square$

Since  $\pi \notin \mathbb{Q}^{\text{ac}}$ , the group  $\exp(\mathbb{Q}^{\text{ac}}) \subseteq \mathbb{C}^\times$  is torsion-free, and by Lindemann's theorem on exponentials ([9], Appendix 1), condition (2) of the lemma is satisfied with  $\mathbf{k} = \mathbb{Q}^{\text{ac}} \subseteq \mathbb{C}$  and  $\Gamma = \exp(\mathbb{Q}^{\text{ac}})$ . Thus  $(\mathbb{Q}^{\text{ac}}, \exp(\mathbb{Q}^{\text{ac}}))$  is a Mann pair. Here is another application of the lemma, which applies for example to Hahn fields  $K = \mathbf{k}((\Gamma))$ .

**Corollary 2.4.** *Let  $v : K^\times \rightarrow v(K^\times)$  be a valuation on a subfield  $K$  of  $\Omega$ . Suppose  $\mathbf{k}$  is a subfield of  $K$  and  $\Gamma$  a subgroup of  $K^\times$  such that  $v$  is trivial on  $\mathbf{k}$  and injective on  $\Gamma$ . Then condition (2) of Lemma 2.3 is satisfied, and so  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

A group with the Mann property can produce a Mann pair in an elementary extension as follows:

**Corollary 2.5.** *Suppose  $G$  is a subgroup of  $\mathbf{k}^\times$  with the Mann property,  $(\mathbf{k}^*, G^*)$  is an elementary extension of  $(\mathbf{k}, G)$ , and  $\Gamma$  is a subgroup of  $G^*$  such that  $\mathbf{k}^\times \cap \Gamma = \{1\}$ . Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Note that  $\Gamma$  is torsion-free. Let  $a_1, \dots, a_n \in \mathbf{k}^\times$ ,  $n \geq 1$ . Then the equation  $a_1x_1 + \dots + a_nx_n = 1$  has the same finite number of non-degenerate solutions in  $G$  as in  $G^*$ , so cannot have non-degenerate solutions in  $\Gamma$  different from  $(1, \dots, 1)$ , the unique element of  $G^n \cap \Gamma^n$ . Hence condition (1) of Lemma 2.3 is satisfied, and so  $(\mathbf{k}, \Gamma)$  is a Mann pair.  $\square$

If  $\mathbf{k}$  has positive characteristic, then Lemma 2.3 is the only source of Mann pairs  $(\mathbf{k}, \Gamma)$  with torsion-free  $\Gamma$ :

**Corollary 2.6.** *Suppose  $\mathbf{k}$  has positive characteristic,  $\Gamma$  is torsion-free, and  $(\mathbf{k}, \Gamma)$  is a Mann pair. Then conditions (1) and (2) of Lemma 2.3 are satisfied.*

*Proof.* Let  $a_1, \dots, a_n \in \mathbf{k}^\times$ ,  $n \geq 1$ , and suppose  $(\gamma_1, \dots, \gamma_n) \in \Gamma^n$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n = 1$ . Let  $\phi$  be the Frobenius map on  $\mathbf{k}$ . Then for each  $m$  we have a non-degenerate solution

$$(\phi^m(\gamma_1), \dots, \phi^m(\gamma_n)) \in \Gamma^n$$

of the equation  $\phi^m(a_1)x_1 + \dots + \phi^m(a_n)x_n = 1$ . By the equivalence (1)  $\Leftrightarrow$  (2) of Lemma 2.1 this yields that all  $\gamma_i$  are roots of unity, so equal to 1 by torsion-freeness of  $\Gamma$ .  $\square$

## 3. MANN PAIRS IN FUNCTION FIELDS OF ONE VARIABLE

Let  $\mathbf{k}$  be algebraically closed, and let  $F \subseteq \Omega$  be a function field of one variable over  $\mathbf{k}$ , that is,  $F$  is a field extension of finite degree of  $\mathbf{k}(t)$  for some  $t \in \Omega \setminus \mathbf{k}$ ; in particular,  $F$  has transcendence degree 1 over  $\mathbf{k}$ . Below we use some standard facts about such function fields; for proofs of these facts, see Chapter I, §2 of [10].

Let  $\mathcal{R}(F|\mathbf{k})$ , the *Riemann space* of  $F$  over  $\mathbf{k}$ , be the set of all valuations  $v : F^\times \rightarrow \mathbb{Z}$  on  $F$  with value group  $v(F^\times) = \mathbb{Z}$  that are trivial on  $\mathbf{k}$ . We let  $v$  range over  $\mathcal{R}(F|\mathbf{k})$ . For each  $f \in F^\times$  we have  $v(f) \neq 0$  for only finitely many  $v$ , and  $\sum_v v(f) = 0$ . Let  $\mathcal{D}(F|\mathbf{k})$  be the group of *divisors* of  $F$  over  $\mathbf{k}$ , that is,

$$\mathcal{D}(F|\mathbf{k}) := \bigoplus_v \mathbb{Z}v$$

is the free abelian group on the Riemann space of  $F$  over  $\mathbf{k}$ . To  $f \in F^\times$  we assign its *principal divisor*  $(f) := \sum_v v(f)v \in \mathcal{D}(F|\mathbf{k})$ . The group morphism

$$F^\times \rightarrow \mathcal{D}(F|\mathbf{k}), \quad f \mapsto (f)$$

has kernel  $\mathbf{k}^\times$ . In particular, if  $\Gamma$  is a subgroup of  $F^\times$  with  $\mathbf{k}^\times \cap \Gamma = \{1\}$ , then this morphism is injective on  $\Gamma$ , and so the image of  $\Gamma$  under this morphism is an isomorphic copy of  $\Gamma$  inside the free abelian group  $\mathcal{D}(F|\mathbf{k})$ . It follows that each such  $\Gamma$  is free as an abelian group.

Given finite  $S \subseteq \mathcal{R}(F|\mathbf{k})$ , an *S-unit* is an element  $u \in F^\times$  such that  $v(u) = 0$  for all  $v \notin S$ .

**Lemma 3.1.** *Let  $L$  be a finite-dimensional  $\mathbf{k}$ -linear subspace of  $F$  and let  $\Gamma \subseteq F^\times$  be finitely generated with  $\mathbf{k}^\times \cap \Gamma = \{1\}$ . Then  $L \cap \Gamma$  is finite.*

*Proof.* Let  $b_1, \dots, b_m$  be a basis of the  $\mathbf{k}$ -linear space  $L$ , and let  $\gamma_1, \dots, \gamma_n$  generate the group  $\Gamma$ . Take a finite  $S \subseteq \mathcal{R}(F|\mathbf{k})$  such that all  $b_i$  and  $\gamma_j$  are  $S$ -units. Take a natural number  $d$  such that  $v(b_i) \geq -d$  for all  $v \in S$  and  $i = 1, \dots, m$ . Then  $v(f) \geq 0$  for all  $f \in L$  and  $v$  outside  $S$ , and  $v(f) \geq -d$  for all  $f \in L$  and  $v \in S$ .

Suppose now that  $\gamma \in L \cap \Gamma$ . Then  $v(\gamma) = 0$  for  $v$  outside  $S$  and  $v(\gamma) \geq -d$  for  $v \in S$ . In view of  $\sum_v v(\gamma) = 0$ , this gives  $v(\gamma) \leq |S|d$  for all  $v \in S$ . It follows that the image of  $L \cap \Gamma$  in  $\mathcal{D}(F|\mathbf{k})$  is finite, and thus  $L \cap \Gamma$  is finite.  $\square$

Let  $u_1, \dots, u_n \in F$  not be all zero. We define their *height* by

$$H(u_1, \dots, u_n) := - \sum_v \min\{v(u_1), \dots, v(u_n)\}.$$

This height is projective:  $H(fu_1, \dots, fu_n) = H(u_1, \dots, u_n)$  for  $f \in F^\times$ .

**Example.** Let  $F = \mathbf{k}(t)$  with  $t$  transcendental over  $\mathbf{k}$ . Suppose that the polynomials  $u_1, \dots, u_n \in \mathbf{k}[t]$  have no common zero in  $\mathbf{k}$ ,  $n \geq 1$ . It is easy to check that then  $H(u_1, \dots, u_n) = \max\{\deg_t u_1, \dots, \deg_t u_n\}$ .

The following important bound is from [3]:

*Let  $\Omega$  have characteristic zero, let  $g$  be the genus of the function field  $F|\mathbf{k}$ , and let  $S$  be a finite subset of  $\mathcal{R}(F|\mathbf{k})$  and  $n \geq 2$ . Suppose  $u_1, \dots, u_n$  are  $S$ -units and  $(u_1, \dots, u_n)$  is a non-degenerate solution of  $x_1 + \dots + x_n = 0$ . Then*

$$H(u_1, \dots, u_n) \leq \frac{1}{2}(n-1)(n-2)\{|S| + \max(0, 2g-2)\}.$$

In combination with the previous lemma this has the following consequence:

**Corollary 3.2.** *Suppose  $\Omega$  has characteristic zero and  $\Gamma \subseteq F^\times$  is finitely generated with  $\mathbf{k}^\times \cap \Gamma = \{1\}$ . Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Take a finite  $S \subseteq \mathcal{R}(F|\mathbf{k})$  such that all  $\gamma \in \Gamma$  are  $S$ -units. Let  $n \geq 2$  and let  $a_1, \dots, a_n \in \mathbf{k}^\times$  and  $\gamma_1, \dots, \gamma_n \in \Gamma$  be such that  $(\gamma_1, \dots, \gamma_n)$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n = 0$ . Dividing by  $\gamma_n$  we arrange  $\gamma_n = 1$ , and we need to show that this leaves only finitely many possibilities for  $(\gamma_1, \dots, \gamma_{n-1})$ . Now by the bound above we have  $v(\gamma_i) \geq -N$  for all  $v \in S$  and  $i = 1, \dots, n-1$ , where  $N := \frac{1}{2}(n-1)(n-2)\{|S| + \max(0, 2g-2)\}$ , so each  $\gamma_i$  lies in the  $\mathbf{k}$ -linear subspace

$$L := \{f \in F : v(f) \geq 0 \text{ for all } v \notin S, v(f) \geq -N \text{ for all } v \in S\}$$

of  $F$ . Now  $L$  is finite-dimensional by [10], p. 7. In view of Lemma 3.1 this gives the desired finiteness.  $\square$

**Example.** To illustrate the effective nature of this proof, assume that  $\Omega$  has characteristic zero, and consider the case  $F = \mathbf{k}(t)$  of a *rational* function field (so  $g = 0$ ), where  $\Gamma$  is generated as a group by

$$t - c_1, \dots, t - c_M, \quad \text{with distinct } c_1, \dots, c_M \in \mathbf{k}.$$

Let  $n \geq 2$  be given. Call a tuple  $(\gamma_1, \dots, \gamma_n) \in \Gamma^n$  *reduced* if all  $\gamma_i$  lie in  $\mathbf{k}[t]$  and  $\gamma_1, \dots, \gamma_n$  have no common zero in  $\mathbf{k}$  when viewed as polynomials in  $t$  over  $\mathbf{k}$ . Let  $a_1, \dots, a_n \in \mathbf{k}^\times$ . Any non-degenerate solution in  $\Gamma$  of the equation

$$a_1x_1 + \dots + a_nx_n = 0$$

can be multiplied by an element of  $\Gamma$  to give a non-degenerate reduced solution  $(\gamma_1, \dots, \gamma_n)$ . In this situation the inequality from [3] yields

$$\max\{\deg_t \gamma_1, \dots, \deg_t \gamma_n\} \leq \frac{1}{2}(n-1)(n-2)(M+1),$$

which is satisfied by only finitely many reduced tuples  $(\gamma_1, \dots, \gamma_n)$ , which we can list explicitly. Given a reduced tuple  $(\gamma_1, \dots, \gamma_n)$  satisfying the inequality, the existence of  $a_1, \dots, a_n \in \mathbf{k}^\times$  such that  $(\gamma_1, \dots, \gamma_n)$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n = 0$  is equivalent (effectively) to the existence of a solution to a certain finite system of linear equations and inequations with coefficients in the field  $\mathbb{Q}(c_1, \dots, c_M)$ .

#### 4. ALLOWING COEFFICIENTS FROM $\Omega$

In this section we consider  $\Gamma$  as acting on itself by multiplication, making  $\Gamma$  into a  $\Gamma$ -set as defined in [5], §4. In particular, any subset of  $\Gamma^n$  definable in the  $\Gamma$ -set  $\Gamma$  is definable in the group  $\Gamma$ . Our aim is to prove the following result and some related facts.

**Proposition 4.1.** *Let  $(\mathbf{k}, \Gamma)$  be a Mann pair and  $r_1, \dots, r_n \in \Omega$ . Then*

$$\{(x, y) \in \mathbf{k}^n \times \Gamma^n : r_1x_1y_1 + \dots + r_nx_ny_n = 0\}$$

*is a finite union of sets  $X \times Y$  with  $X$  a  $\mathbf{k}$ -linear subspace of  $\mathbf{k}^n$  and  $Y \subseteq \Gamma^n$  defined in the  $\Gamma$ -set  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets.*

We first show this for  $r_1, \dots, r_n \in \mathbf{k}$ , next for  $r_1, \dots, r_n \in \mathbf{k}(\Gamma)$ , and then in general.

4.1. **Notations.** In this section we let  $\vec{a} = (a_1, \dots, a_n)$  and  $\vec{b} = (b_1, \dots, b_n)$  range over  $\mathbf{k}^n$ , and  $\alpha, \beta, \gamma$  over  $\Gamma$ , and

$$\vec{\alpha} = (\alpha_1, \dots, \alpha_n), \quad \vec{\beta} = (\beta_1, \dots, \beta_n), \quad \vec{\gamma} = (\gamma_1, \dots, \gamma_n)$$

over  $\Gamma^n$ . Let  $n \geq 1$  and put

$$\begin{aligned} \Sigma_n(\mathbf{k}, \Gamma) &:= \{(\vec{a}, \vec{\gamma}) \in \mathbf{k}^n \times \Gamma^n : a_1\gamma_1 + \dots + a_n\gamma_n = 0\}, \\ \Sigma_n(\mathbf{k}, \Gamma; \vec{\gamma}) &:= \{\vec{a} \in \mathbf{k}^n : (\vec{a}, \vec{\gamma}) \in \Sigma_n(\mathbf{k}, \Gamma)\}. \end{aligned}$$

Imposing non-degeneracy yields the set  $\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma)$  of all  $(\vec{a}, \vec{\gamma}) \in (\mathbf{k}^\times)^n \times \Gamma^n$  such that  $\vec{\gamma}$  is a non-degenerate solution of  $a_1x_1 + \dots + a_nx_n = 0$ . We also introduce for each  $\vec{\gamma}$  the corresponding section

$$\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma; \vec{\gamma}) := \{\vec{a} \in (\mathbf{k}^\times)^n : (\vec{a}, \vec{\gamma}) \in \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma)\}.$$

If  $(\vec{a}, \vec{\gamma}) \in \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma)$ , then  $(\vec{a}, \alpha\vec{\gamma}) \in \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma)$ , so  $\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma)$  is a union of sets of the form  $\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma\vec{\gamma}$ . Being a Mann pair can now be expressed as follows:

$(\mathbf{k}, \Gamma)$  is a Mann pair iff for each  $n \geq 1$  there is a finite  $\Gamma(n) \subseteq \Gamma^n$  such that

$$\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma(n)} \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma\vec{\gamma}.$$

Whenever  $(\mathbf{k}, \Gamma)$  is a Mann pair we let  $\Gamma(n)$  for  $n \geq 1$  be as above.

We also want to allow coefficients outside  $\mathbf{k}$  and accordingly, given  $\vec{r} = (r_1, \dots, r_n) \in \Omega^n$ , we let  $\Sigma(\vec{r}, \mathbf{k}, \Gamma)$  be the set of all

$$(\vec{a}, \vec{\gamma}) = (a_1, \dots, a_n, \gamma_1, \dots, \gamma_n) \in \mathbf{k}^n \times \Gamma^n$$

such that  $\vec{\gamma}$  is a solution of  $r_1a_1x_1 + \dots + r_na_nx_n = 0$ , and let  $\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma)$  be the set of all  $(\vec{a}, \vec{\gamma}) \in (\mathbf{k}^\times)^n \times \Gamma^n$  such that  $\vec{\gamma}$  is a non-degenerate solution of  $r_1a_1x_1 + \dots + r_na_nx_n = 0$ . In particular,  $\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma) = \emptyset$  if  $n \geq 2$  and  $r_i = 0$  for some  $i \in \{1, \dots, n\}$ . Also, let  $\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma; \vec{\gamma})$  be the set of  $\vec{a} \in (\mathbf{k}^\times)^n$  such that  $(\vec{a}, \vec{\gamma}) \in \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma)$ .

4.2. **Mann pairs over  $F$ .** Besides  $\mathbf{k}$  we also let  $F$  denote a subfield of  $\Omega$ . Usually,  $\mathbf{k}$  serves as basefield, and  $F$  will be an extension of  $\mathbf{k}$ .

We say that  $(\mathbf{k}, \Gamma)$  is a *Mann pair over  $F$*  if for every tuple  $\vec{r} = (r_1, \dots, r_n)$  from  $F^\times$ ,  $n \geq 2$ , there is a finite subset  $\Gamma(\vec{r})$  of  $\Gamma^n$ , such that

$$\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma(\vec{r})} \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma\vec{\gamma}.$$

It is clear that  $(\mathbf{k}, \Gamma)$  is a Mann pair iff it is a Mann pair over  $\mathbf{k}$ . We proceed to show that if  $(\mathbf{k}, \Gamma)$  is a Mann pair, then it is a Mann pair over  $\Omega$ .

Let  $\vec{r} = (r_1, \dots, r_n) \in F^n$ ,  $n \geq 1$ . Let  $\mathcal{P}$  be a partition of  $\{1, \dots, n\}$  into distinct sets. Then we define  $\Sigma_{\mathcal{P}}(\vec{r}, \mathbf{k}, \Gamma)$  to be the set of all

$$(a_1, \dots, a_n, \beta_1, \dots, \beta_n) \in \mathbf{k}^n \times \Gamma^n$$

such that for  $I \in \mathcal{P}$  the tuple  $(\beta_i)_{i \in I}$  is a non-degenerate solution of

$$\sum_{i \in I} r_i a_i x_i = 0$$

With  $\vec{r}_I := (r_i)_{i \in I}$  for  $I \in \mathcal{P}$ , this means

$$\Sigma_{\mathcal{P}}(\vec{r}, \mathbf{k}, \Gamma) = \prod_{I \in \mathcal{P}} \Sigma^{\text{nd}}(\vec{r}_I, \mathbf{k}, \Gamma).$$

Suppose now that  $(\mathbf{k}, \Gamma)$  is an Mann pair over  $F$ . Then we have for  $I \in \mathcal{P}$  a finite  $\Gamma(\vec{r}_I) \subseteq \Gamma^I$  such that

$$\Sigma^{\text{nd}}(\vec{r}_I, \mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma(\vec{r}_I)} \Sigma^{\text{nd}}(\vec{r}_I, \mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma \vec{\gamma}.$$

It follows that

$$\Sigma_{\mathcal{P}}(\vec{r}, \mathbf{k}, \Gamma) = \prod_{I \in \mathcal{P}} \bigcup_{\vec{\gamma} \in \Gamma(\vec{r}_I)} \Sigma^{\text{nd}}(\vec{r}_I, \mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma \vec{\gamma}.$$

Thus, with  $\vec{\gamma}_I := (\gamma_i)_{i \in I}$  and  $\Gamma(\vec{r}) = \Gamma(\vec{r}, \mathcal{P}) := \prod_{I \in \mathcal{P}} \Gamma(\vec{r}_I) \subseteq \Gamma^n$ ,

$$\begin{aligned} \Sigma_{\mathcal{P}}(\vec{r}, \mathbf{k}, \Gamma) &= \bigcup_{\vec{\gamma} \in \Gamma(\vec{r})} \prod_{I \in \mathcal{P}} [\Sigma^{\text{nd}}(\vec{r}_I, \mathbf{k}, \Gamma; \vec{\gamma}_I) \times \Gamma \vec{\gamma}_I] \\ &\subseteq \bigcup_{\vec{\gamma} \in \Gamma(\vec{r})} \prod_{I \in \mathcal{P}} [\Sigma(\vec{r}_I, \mathbf{k}, \Gamma; \vec{\gamma}_I) \times \Gamma \vec{\gamma}_I]. \end{aligned}$$

The last product set is contained in  $\Sigma(\vec{r}, \mathbf{k}, \Gamma)$  under an obvious identification, so with  $\mathcal{P}$  ranging over the partitions of  $\{1, \dots, n\}$ , we get

$$\Sigma(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{\mathcal{P}} \Sigma_{\mathcal{P}}(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{\mathcal{P}} \bigcup_{\vec{\gamma} \in \Gamma(\vec{r}, \mathcal{P})} \prod_{I \in \mathcal{P}} [\Sigma(\vec{r}_I, \mathbf{k}, \Gamma; \vec{\gamma}_I) \times \Gamma \vec{\gamma}_I].$$

This yields the following result.

**Lemma 4.2.** *Suppose  $(\mathbf{k}, \Gamma)$  is a Mann pair over  $F$ , and  $\vec{r} \in F^n$ ,  $n \geq 1$ . Then  $\Sigma(\vec{r}, \mathbf{k}, \Gamma)$  is a finite union of sets  $P \times Q$ , where  $P$  is a  $\mathbf{k}$ -linear subspace of  $\mathbf{k}^n$ , and  $Q \subseteq \Gamma^n$  is defined in  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets.*

Note that the intersection of two sets of the form  $P \times Q$ , where  $P, Q$  are as in Lemma 4.2, is again of the same form. Hence, if  $(\mathbf{k}, \Gamma)$  is a Mann pair over  $F$ , and  $\vec{r}, \vec{s} \in F^n$ ,  $n \geq 1$ , then  $\Sigma(\vec{r}, \mathbf{k}, \Gamma) \cap \Sigma(\vec{s}, \mathbf{k}, \Gamma)$  is a finite union of sets  $P \times Q$ , where  $P, Q$  are as in Lemma 4.2. Next we prove a converse of Lemma 4.2.

**Lemma 4.3.** *Let  $\vec{r} \in \Omega^n$ . Suppose that*

$$\Sigma(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{j=1}^k P_j \times Q_j,$$

where  $P_1, \dots, P_k$  are subsets of  $\mathbf{k}^n$ , and each  $Q_j$  is a subset of  $\Gamma^n$  defined in  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets. Then there is a finite subset  $\Gamma(\vec{r})$  of  $\Gamma^n$  such that

$$\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma(\vec{r})} \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma \vec{\gamma}.$$

*Proof.* We can assume  $\Gamma \neq \{1\}$ . Let  $j \in \{1, \dots, k\}$  be such that  $Q_j \neq \emptyset$ . By the discussion in [5] just after Corollary 4.2, we can take  $\vec{\gamma} \in \Gamma^n$  and a partition of  $\{1, \dots, n\}$  into distinct sets  $I(1), \dots, I(l)$  such that

$$Q_j = \Gamma \vec{\gamma}(1) \times \dots \times \Gamma \vec{\gamma}(l) \subseteq \prod_{\lambda=1}^l \Gamma^{I(\lambda)} = \Gamma^n,$$

where  $\vec{\gamma}(\lambda) := \{(\gamma_i)\}_{i \in I(\lambda)} \in \Gamma^{I(\lambda)}$  for  $\lambda = 1, \dots, l$ .

**Claim.** Let  $l > 1$ ,  $\vec{a} \in P_j$  and  $\vec{\beta} \in Q_j$ . Then  $(a_1\beta_1, \dots, a_n\beta_n)$  is a degenerate solution of  $r_1x_1 + \dots + r_nx_n = 0$ .

*Proof of the claim.* For each  $\alpha \in \Gamma$ ,

$$\sum_{i \in I(1)} r_i a_i \beta_i + \sum_{i \notin I(1)} r_i a_i \beta_i = 0 = \sum_{i \in I(1)} r_i a_i \beta_i + \sum_{i \notin I(1)} r_i a_i \alpha \beta_i.$$

So  $\sum_{i \notin I(1)} r_i a_i \beta_i = \alpha \sum_{i \notin I(1)} r_i a_i \beta_i$  for all  $\alpha \in \Gamma$ . Hence  $\sum_{i \notin I(1)} r_i a_i \beta_i = 0$ , and thus  $(a_1\beta_1, \dots, a_n\beta_n)$  is a degenerate solution, proving the claim.

As a result of this claim, we get a subset  $J$  of  $\{1, \dots, k\}$ , and a tuple  $\vec{\gamma}_j \in \Gamma^n$  for each  $j \in J$  such that

$$\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma) \subseteq \bigcup_{j \in J} P_j \times \Gamma \vec{\gamma}_j.$$

Since obviously  $\bigcup_{j \in J} \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma; \vec{\gamma}_j) \times \Gamma \vec{\gamma}_j \subseteq \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma)$ , this yields

$$\Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma) = \bigcup_{j \in J} \Sigma^{\text{nd}}(\vec{r}, \mathbf{k}, \Gamma; \vec{\gamma}_j) \times \Gamma \vec{\gamma}_j.$$

□

Note:  $P_1, \dots, P_k$  in this lemma are not assumed to be linear subspaces of  $\mathbf{k}^n$ . Applying the lemma with  $\vec{r} = (1, \dots, 1)$ , we obtain

**Lemma 4.4.** *Suppose for each  $n \geq 1$  the set  $\Sigma_n(\mathbf{k}, \Gamma)$  is a finite union of sets  $P \times Q$  with  $P \subseteq \mathbf{k}^n$  and  $Q$  a subset of  $\Gamma^n$  defined in  $\Gamma$  by a positive quantifier-free formula in the language of  $\Gamma$ -sets. Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

Next we improve Lemma 4.4 and obtain a strong version of the implication (2)  $\implies$  (1) of Theorem 1.2. We shall use facts from [5], but alert the reader that in the statement and proof of Proposition 5.11 of [5], “subgroups of  $G^n$ ” should be “cosets of subgroups of  $G^n$ ”, and “ $B_n$ ” should be “ $B_m$ ”.

**Proposition 4.5.** *Suppose for each  $n \geq 1$  the set  $\Sigma_n(\mathbf{k}, \Gamma)$  is a finite union of sets  $X \times Y$  where  $X \subseteq \mathbf{k}^n$  and where  $Y \subseteq \Gamma^n$  is a boolean combination of cosets of subgroups of  $\Gamma^n$ . Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Let  $n \geq 1$ . For  $(x, y) \in \mathbf{k}^n \times \Gamma^n$  we have

$$x_1y_1 + \dots + x_ny_n = 1 \iff ((x_1, \dots, x_n, -1), (y_1, \dots, y_n, 1)) \in \Sigma_{n+1}(\mathbf{k}, \Gamma).$$

Using the hypothesis with  $n+1$  instead of  $n$  it follows easily that the set

$$\{(x, y) \in \mathbf{k}^n \times \Gamma^n : x_1y_1 + \dots + x_ny_n = 1\}$$

is a finite union of sets  $X \times Y$  where  $X \subseteq \mathbf{k}^n$  and where  $Y \subseteq \Gamma^n$  is a boolean combination of cosets of subgroups of  $\Gamma^n$ . Then Proposition 5.11 of [5] yields that  $\Gamma$  has the Mann property. Next,

$$\Sigma_n(\mathbf{k}, \Gamma) = \bigcup_{i \in I} X_i \times Y_i$$

with finite  $I$  and each  $X_i \subseteq \mathbf{k}^n$ , and where each  $Y_i \subseteq \Gamma^n$  is a boolean combination of cosets of subgroups of  $\Gamma^n$ . We can also arrange that  $X_i \neq \emptyset$  for all  $i$  and  $X_i \cap X_j = \emptyset$  for all distinct  $i, j \in I$ .

By Corollary 5.1 and Lemma 5.5 in [5], it follows that each set  $Y_i$  is definable in  $\Gamma$  by a positive quantifier-free formula in the language of  $\Gamma$ -sets. Then Lemma 4.4 yields that  $(\mathbf{k}, \Gamma)$  is a Mann pair.  $\square$

**Lemma 4.6.** *If  $(\mathbf{k}, \Gamma)$  is a Mann pair, then it is a Mann pair over  $\mathbf{k}(\Gamma)$ .*

*Proof.* Assume  $(\mathbf{k}, \Gamma)$  is a Mann pair, and let  $r_1, \dots, r_n \in \mathbf{k}(\Gamma)^\times$ . By Lemma 4.3, it suffices to prove that  $\Sigma(\vec{r}, \mathbf{k}, \Gamma)$  is a finite union of sets  $P \times Q$ , where  $P \subseteq \mathbf{k}^n$ , and  $Q \subseteq \Gamma^n$  is defined by a finite conjunction of atoms in the language of  $\Gamma$ -sets. We may assume that  $r_1, \dots, r_n \in \mathbf{k}[\Gamma]$ . Then  $r_i = \sum_{j=1}^k a_{ij} \beta_j$  with  $a_{ij} \in \mathbf{k}$ , and  $\beta_j \in \Gamma$  for  $i = 1, \dots, n$  and  $j = 1, \dots, k$ .

Let  $\vec{a} = (a_{11}, \dots, a_{1k}, \dots, a_{n1}, \dots, a_{nk}) \in \mathbf{k}^{nk}$ . Then

$$(c_1, \dots, c_n, \gamma_1, \dots, \gamma_n) \in \Sigma(\vec{r}, \mathbf{k}, \Gamma)$$

$$\iff$$

$$(c_1, \dots, c_1, \dots, c_n, \dots, c_n, \beta_1 \gamma_1, \dots, \beta_k \gamma_1, \dots, \beta_1 \gamma_n, \dots, \beta_k \gamma_n) \in \Sigma(\vec{a}, \mathbf{k}, \Gamma).$$

By Lemma 4.2,  $\Sigma(\vec{a}, \mathbf{k}, \Gamma)$  is a finite union of sets  $P \times Q$ , where  $P \subseteq \mathbf{k}^{nk}$ , and  $Q \subseteq \Gamma^{nk}$  is defined in  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets. Fix such  $P, Q$ , and define

$$P' := \{(c_1, \dots, c_n) \in \mathbf{k}^n : (c_1, \dots, c_1, \dots, c_n, \dots, c_n) \in P\},$$

$$Q' := \{(\gamma_1, \dots, \gamma_n) \in \Gamma^n : (\beta_1 \gamma_1, \dots, \beta_k \gamma_1, \dots, \beta_1 \gamma_n, \dots, \beta_k \gamma_n) \in Q\}.$$

Then for  $(c_1, \dots, c_n, \gamma_1, \dots, \gamma_n) \in \mathbf{k}^n \times \Gamma^n$ ,

$$(c_1, \dots, c_n, \gamma_1, \dots, \gamma_n) \in P' \times Q'$$

$$\iff$$

$$(c_1, \dots, c_1, \dots, c_n, \dots, c_n, \beta_1 \gamma_1, \dots, \beta_k \gamma_n) \in P \times Q.$$

Hence,  $\Sigma(\vec{a}, \mathbf{k}, \Gamma)$  being a finite union of sets  $P \times Q$  with  $P$  and  $Q$  as above,  $\Sigma(\vec{r}, \mathbf{k}, \Gamma)$  is the union of the corresponding sets  $P' \times Q'$ . This finishes the proof of the lemma.  $\square$

**Proposition 4.7.** *If  $(\mathbf{k}, \Gamma)$  is a Mann pair, then it is a Mann pair over  $\Omega$ .*

*Proof.* Let  $\vec{r} = (r_1, \dots, r_n) \in \Omega^n$ . Take a basis  $b_1, \dots, b_m$  of the  $\mathbf{k}(\Gamma)$ -linear space  $\mathbf{k}(\Gamma)r_1 + \dots + \mathbf{k}(\Gamma)r_n$ . Then  $r_j = \sum_{i=1}^m r_{ij} b_i$  with  $r_{ij} \in \mathbf{k}(\Gamma)$ , so that for all  $(\vec{c}, \vec{\gamma}) \in \mathbf{k}^n \times \Gamma^n$  we have:  $(\vec{c}, \vec{\gamma}) \in \Sigma(\vec{r}, \mathbf{k}, \Gamma)$  if and only if  $(c_1 \gamma_1, \dots, c_n \gamma_n)$  is a solution of the system

$$r_{11}x_1 + \dots + r_{1n}x_n = 0$$

$$r_{21}x_1 + \dots + r_{2n}x_n = 0$$

$$\vdots$$

$$r_{m1}x_1 + \dots + r_{mn}x_n = 0.$$

Suppose now that  $(\mathbf{k}, \Gamma)$  is a Mann pair. Then it is a Mann pair over  $\mathbf{k}(\Gamma)$  by the previous lemma. It remains to use Lemmas 4.2 and 4.3.  $\square$

Clearly, this proposition and Lemma 4.2 yield Proposition 4.1.

For any  $n$ -tuple  $k = (k_1, \dots, k_n) \in \mathbb{Z}^n$ , consider the *character*

$$\chi_k : (\Omega^\times)^n \rightarrow \Omega^\times, \quad \chi_k(y_1, \dots, y_n) := y_1^{k_1} \cdots y_n^{k_n}.$$

This is a multiplicative group homomorphism. For any  $e \in \mathbb{N}$ , let  $\mathcal{D}(n, e)$  be the finite collection of subgroups of  $(\Omega^\times)^n$  that are intersections of kernels of characters  $\chi_k$  with  $|k| = |k_1| + \cdots + |k_n| \leq e$ .

**Proposition 4.8.** *Let  $(\mathbf{k}, \Gamma)$  be a Mann pair, let  $X_1, \dots, X_m, Y_1, \dots, Y_n$  be distinct indeterminates, and let the polynomials  $f_1, \dots, f_M \in \Omega[X, Y]$  have degree  $\leq d$  in  $X = (X_1, \dots, X_m)$  and degree  $\leq e$  in  $Y = (Y_1, \dots, Y_n)$ , where  $M, d, e \in \mathbb{N}$ , and put*

$$Z := \{(x, y) \in \mathbf{k}^m \times \Gamma^n : f_1(x, y) = \cdots = f_M(x, y) = 0\}.$$

Then  $Z$  is a finite union of sets  $P \times Q$ , where

$$P = \{x \in \mathbf{k}^m : g_1(x) = \cdots = g_N(x) = 0\}$$

for suitable  $N \in \mathbb{N}$  and polynomials  $g_1, \dots, g_N \in \mathbf{k}[X]$  of degree  $\leq d$ , and where  $Q \subseteq \Gamma^n$  is a coset of a subgroup  $D \cap \Gamma^n$  of  $\Gamma^n$  with  $D \in \mathcal{D}(n, e)$ .

*Proof.* The intersection of finitely many cosets of such subgroups is either empty or again a coset of such a subgroup. Hence we may (and shall) assume that  $M = 1$ . Put  $f := f_1$ . Then  $f = \sum_{(i,j) \in I \times J} a_{ij} X^i Y^j$  where all  $a_{ij} \in \Omega$  and  $I$  is the set of multi-indices  $i = (i_1, \dots, i_m) \in \mathbb{N}^m$  with  $|i| = i_1 + \cdots + i_m \leq d$  and  $J$  is the set of multi-indices  $j = (j_1, \dots, j_n) \in \mathbb{N}^n$  with  $|j| = j_1 + \cdots + j_n \leq e$ . By Proposition 4.7 and Lemma 4.2 the set

$$\{((x_i)_{i \in I}, (y_j)_{j \in J}) \in \mathbf{k}^I \times \Gamma^J : \sum_{(i,j) \in I \times J} a_{ij} x_i y_j = 0\}$$

is a finite union of subsets of  $\mathbf{k}^I \times \Gamma^J$  of the form

$$V \times \{y \in \Gamma^J : \gamma_1 y_{i(1)} = y_{j(1)}, \dots, \gamma_k y_{i(k)} = y_{j(k)}\}$$

with  $V$  a  $\mathbf{k}$ -linear subspace of  $\mathbf{k}^I$ ,  $\gamma_1, \dots, \gamma_k \in \Gamma$ , and with  $k \in \mathbb{N}$  and indices  $i(1), j(1), \dots, i(k), j(k)$  in  $J$ . It remains to observe that for such  $\gamma_1, \dots, \gamma_k$  and  $i(1), j(1), \dots, i(k), j(k)$  the set

$$\{y \in \Gamma^J : \gamma_1 \chi_{i(1)}(y) = \chi_{j(1)}(y), \dots, \gamma_k \chi_{i(k)}(y) = \chi_{j(k)}(y)\}$$

is a coset of the subgroup  $D \cap \Gamma^n$  of  $\Gamma^n$  where  $D$  is the intersection of the kernels of  $\chi_{i(1)-j(1)}, \dots, \chi_{i(k)-j(k)}$ .  $\square$

## 5. ROBUSTNESS OF MANN PAIRS

In this section we assume that  $(\mathbf{k}, \Gamma)$  is a Mann pair. In addition,  $K \supseteq \mathbf{k}$  is a subfield of  $\Omega$ , and  $\Delta, \Gamma'$  are subgroups of  $\Omega^\times$  with  $\Gamma' \supseteq \Gamma$ . We claim:

- (1)  $[K : \mathbf{k}] < \infty \Rightarrow (K, \Gamma)$  is a Mann pair;
- (2)  $[\Gamma' : \Gamma] < \infty \Rightarrow (\mathbf{k}, \Gamma')$  is a Mann pair;
- (3)  $(K \supseteq \mathbf{k}(\Gamma)$  and  $(K, \Delta)$  is a Mann pair  $\Rightarrow (\mathbf{k}, \Gamma\Delta)$  is a Mann pair;
- (4)  $(K$  is linearly disjoint from  $\mathbf{k}(\Gamma)$  over  $\mathbf{k}$ )  $\Rightarrow (K, \Gamma)$  is a Mann pair;

**Proof of (1).** Here  $K$  is an extension field of finite degree  $m$  over  $\mathbf{k}$ . Let  $b_1, \dots, b_m$  be a basis of the  $\mathbf{k}$ -linear space  $K$ . Let  $n \geq 1$ , and consider  $(\vec{a}, \vec{\gamma}) \in K^n \times \Gamma^n$ . Then

with  $a_j = \sum_{i=1}^m a_{ij}b_i$  ( $1 \leq j \leq n$ , all  $a_{ij} \in \mathbf{k}$ ),

$$\begin{aligned} (\vec{a}, \vec{\gamma}) \in \Sigma_n(K, \Gamma) &\iff \sum_{j=1}^n (a_{1j}b_1 + \cdots + a_{mj}b_m)\gamma_j = 0 \\ &\iff F(a_{11}, \dots, a_{mn}, \gamma_1, \dots, \gamma_n) = 0 \end{aligned}$$

$$\text{with } F := \sum_{j=1}^n (X_{1j}b_1 + \cdots + X_{mj}b_m)Y_j \in K[X_{11}, \dots, X_{mn}, Y_1, \dots, Y_n].$$

By Proposition 4.8, the set

$$\{((c_{ij}), \gamma) \in \mathbf{k}^{mn} \times \Gamma^n : F(c_{11}, \dots, c_{mn}, \gamma_1, \dots, \gamma_n) = 0\}$$

is a union  $\bigcup_{i \in I} P_i \times Q_i$  with finite  $I$ , where each  $P_i \subseteq \mathbf{k}^{mn}$ , and each  $Q_i \subseteq \Gamma^n$  is a coset of a subgroup of  $\Gamma^n$ . Therefore we have  $\Sigma_n(K, \Gamma) = \bigcup_{i \in I} P'_i \times Q_i$  with  $P'_i = \{\vec{a} \in K^n : (a_{ij}) \in P_i\}$ , where  $\vec{a}$  determines  $(a_{ij})$  as above.

**Corollary 5.1.** *If  $\gamma \in \Gamma$  is algebraic over  $\mathbf{k}$ , then  $\gamma$  is a root of unity. In particular, if  $(\mathbb{Q}, \Gamma)$  is a Mann pair with  $\Gamma \subseteq A^\times$ , then  $\Gamma \subseteq \mathbb{U}$ .*

**Proof of (2).** Assume  $\Gamma' = \bigcup_{\alpha \in C} \alpha\Gamma$  where  $C \subseteq \Gamma'$  is finite. Then

$$\Sigma_n(\mathbf{k}, \Gamma') = \bigcup_{\vec{\alpha} \in C^n} \{(\vec{a}, \vec{\alpha}\vec{\gamma}) : (\vec{a}, \vec{\gamma}) \in \Sigma(\vec{\alpha}, \mathbf{k}, \Gamma),$$

and it remains to use Proposition 4.7, Lemma 4.2 and Proposition 4.5.

**Proof of (3).** Assume  $K \supseteq \mathbf{k}(\Gamma)$  and  $(K, \Delta)$  is a Mann pair. Let  $n \geq 1$  and take finite  $\Delta(n) \subseteq \Delta^n$  such that

$$\Sigma_n^{\text{nd}}(K, \Delta) = \bigcup_{\vec{\delta} \in \Delta(n)} \Sigma_n^{\text{nd}}(K, \Delta; \vec{\delta}) \times \Delta\vec{\delta}.$$

For each  $\vec{\delta} \in \Delta(n)$  we have a finite  $\Gamma(\vec{\delta}) \subseteq \Gamma^n$  such that

$$\Sigma_n^{\text{nd}}(\vec{\delta}, \mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma(\vec{\delta})} \Sigma_n^{\text{nd}}(\vec{\delta}, \mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma\vec{\gamma}.$$

It follows that, with  $\vec{\gamma}\vec{\delta} := (\gamma_1\delta_1, \dots, \gamma_n\delta_n)$ , we have

$$\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma\Delta) = \bigcup_{\vec{\delta} \in \Delta(n)} \bigcup_{\vec{\gamma} \in \Gamma(\vec{\delta})} \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma\Delta; \vec{\gamma}\vec{\delta}) \times \Gamma\Delta\vec{\gamma}\vec{\delta}.$$

**Proof of (4).** Assume  $K$  is linearly disjoint from  $\mathbf{k}(\Gamma)$  over  $\mathbf{k}$ . Let  $n \geq 1$ ; it is enough to obtain  $\Sigma_n(K, \Gamma)$  as a finite union of sets  $P \times Q$  with  $P \subseteq K^n$ , and  $Q \subseteq \Gamma^n$  defined in  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets.

Suppose  $(\vec{r}, \vec{\gamma}) \in \Sigma_n(K, \Gamma)$ . Then take a basis  $b_1, \dots, b_m$  of the  $\mathbf{k}$ -linear space  $\mathbf{k}r_1 + \cdots + \mathbf{k}r_n$ . Then  $r_j = \sum_{i=1}^m a_{ij}b_i$  with  $a_{ij} \in \mathbf{k}$ ,  $j = 1, \dots, n$ , hence

$$(a_{i1}, \dots, a_{in}, \vec{\gamma}) \in \Sigma_n(\mathbf{k}, \Gamma) \text{ for } i = 1, \dots, m.$$

Conversely, given any  $a_{ij} \in \mathbf{k}$  ( $1 \leq i, j \leq n$ ) such that

$$(a_{i1}, \dots, a_{in}, \vec{\gamma}) \in \Sigma_n(\mathbf{k}, \Gamma) \text{ for } i = 1, \dots, n$$

and any  $b_1, \dots, b_n \in K$  (not necessarily linearly independent over  $\mathbf{k}$ ), we have  $(\vec{r}, \vec{\gamma}) \in \Sigma_n(K, \Gamma)$ , where  $r_j = \sum_{i=1}^n a_{ij}b_i$  for  $j = 1, \dots, n$ . It follows that for all

$(\vec{r}, \vec{\gamma}) \in K^n \times \Gamma^n$  we have:  $(\vec{r}, \vec{\gamma}) \in \Sigma_n(K, \Gamma)$  if and only if there is an  $n \times n$ -matrix  $A = (a_{ij}) \in \mathbf{k}^{n^2}$  and a vector  $\vec{b} \in K^n$  such that

$$(a_{i1}, \dots, a_{in}, \vec{\gamma}) \in \Sigma_n(\mathbf{k}, \Gamma) \text{ for } i = 1, \dots, n, \text{ and } A\vec{b} = \vec{r}.$$

with  $\vec{b}$  and  $\vec{r}$  viewed as column vectors. By Lemma 4.2 the set of all  $(A, \vec{\gamma}) \in \mathbf{k}^{n^2} \times \Gamma^n$ , with  $A = (a_{ij})$ , such that the displayed condition holds, is a union  $\bigcup_{\lambda \in \Lambda} P_\lambda \times Q_\lambda$  with finite  $\Lambda$  where each  $P_\lambda \subseteq \mathbf{k}^{n^2}$ , and each  $Q_\lambda \subseteq \Gamma^n$  is defined in  $\Gamma$  by a conjunction of atoms in the language of  $\Gamma$ -sets.

For  $\lambda \in \Lambda$ , let  $P'_\lambda$  be the set of all  $\vec{r} \in K^n$  for which there is a matrix  $A \in P_\lambda$  and a vector  $\vec{b} \in K^n$  such that  $A\vec{b} = \vec{r}$ . Then by the above,  $\Sigma_n(K, \Gamma) = \bigcup_{\lambda \in \Lambda} P'_\lambda \times Q_\lambda$ .

**5.1. Curious Mann pairs in positive characteristic.** Let  $p$  be a prime number, and let  $\Omega \supseteq \mathbb{F}_p$  be of characteristic  $p$  so that every  $\mathbb{F}_{p^e}$  with  $e$  a positive integer is a subfield of  $\Omega$ . Let  $P$  be a finite nonempty set of prime numbers different from  $p$ , let  $S(P)$  be the set of all positive integers all of whose prime factors are in  $P$ . Put

$$G := \{a \in \Omega^\times : a^N = 1 \text{ for some } N \in S(P)\},$$

an infinite subgroup of  $\Omega^\times$  isomorphic to the direct product of the Prüfer groups  $\mathbb{Z}(l^\infty)$  with  $l \in P$ .

Theorem 8.9 in [5] says that  $G$  has the Mann property, but this is also a consequence of earlier results in [2] and [15]. We now strengthen this as follows. The proof in [5] starts with a certain large enough finite field  $\mathbb{F}_{p^e}$  where  $e$  is a positive integer. Put

$$f := e \cdot \prod_{l \notin P} l^\infty, \quad g := e \cdot \prod_{l \in P} l^\infty \quad (\text{products of supernatural numbers}).$$

Then  $\mathbb{F}_{p^e}(G) = \mathbb{F}_{p^g} \subseteq \Omega$ , and so the infinite field  $\mathbb{F}_{p^f}$  is linearly disjoint from  $\mathbb{F}_{p^e}(G)$  over  $\mathbb{F}_{p^e}$ , so by (4) above we have a Mann pair  $(\mathbb{F}_{p^f}, G)$  with the curious property that  $\mathbb{F}_{p^f}(G) = \mathbb{F}_p^{\text{ac}}$ .

**5.2. Some Mann pairs in mixed characteristic.** Let  $p$  be a prime number and  $E \supseteq \mathbb{F}_p$  a perfect field of characteristic  $p$ . Let  $W[E] \supseteq W[\mathbb{F}_p] = \mathbb{Z}_p$  be the ring of Witt vectors over  $E$ , let  $W(E) \supseteq W(\mathbb{F}_p) = \mathbb{Q}_p$  be its fraction field, and let  $\tau : E^\times \rightarrow W(E)^\times$  be the Teichmüller lifting. It was shown in [5], 8.4, that  $\tau(E^\times)$  satisfies a strong form of the Mann property. In fact:

**Proposition 5.2.**  $(\mathbb{Q}_p, \tau(E^\times))$  is a Mann pair.

*Proof.* Consider first the case  $E = \mathbb{F}_p^{\text{ac}}$ . Then

$$\tau(E^\times) = \mathbb{U}[p'] := \{x \in W(E) : x^n = 1 \text{ for some } n \text{ not divisible by } p\}.$$

By arguments as in the proof of Theorem 1 in [12] one shows that  $(\mathbb{Q}_p, \mathbb{U}[p'])$  is a Mann pair. (Alternatively,  $(\mathbb{Q}, \mathbb{U}[p'])$  is a Mann pair by Theorem 1 in [12], and it remains to use (4) and the fact that  $\mathbb{Q}_p$  and  $\mathbb{Q}(\mathbb{U}[p'])$  are linearly disjoint over  $\mathbb{Q}$ .)

In the general case, we first arrange that  $E$  is algebraically closed so that  $E \supseteq \mathbb{F}_p^{\text{ac}}$  and  $W(E) \supseteq W(\mathbb{F}_p^{\text{ac}})$ . Then  $E^\times = (\mathbb{F}_p^{\text{ac}})^\times G$  where the subgroup  $G$  of  $E^\times$  is torsion-free. Then  $\tau(E^\times) = \mathbb{U}[p']\tau(G)$ . By the proof of 8.4 in [5], whenever  $\gamma_1, \dots, \gamma_n \in \tau(G)$  are multiplicatively independent, they are algebraically independent over  $W(\mathbb{F}_p^{\text{ac}})$ . Then Lemma 2.3 yields that  $(W(\mathbb{F}_p^{\text{ac}}), \tau(G))$  is a Mann pair. Since  $(\mathbb{Q}_p, \mathbb{U}[p'])$  is a Mann pair, we obtain from (3) that  $(\mathbb{Q}_p, \tau(E^\times))$  is a Mann pair.  $\square$

## 6. PROOF OF THEOREM 1.1

In this section we assume that  $\Omega$  has characteristic zero, and, besides  $\mathbf{k}$ , we also let  $E, F, K$  be subfields of  $\Omega$ . The following contains Proposition 5.16 of [5] as a special case, with almost the same proof.

**Lemma 6.1.** *Let  $E$  be a subfield of  $K$  such that all  $p^{\text{th}}$  roots of unity in  $K$  are in  $E$ , for every prime  $p$ . Let  $G$  be a pure subgroup of  $E^\times$ , and put*

$$H := \{h \in K^\times : h^d \in G \text{ for some positive integer } d\}.$$

*Then for  $a_1, \dots, a_n \in E^\times$ , the equation  $a_1x_1 + \dots + a_nx_n = 1$  has the same non-degenerate solutions in  $G$  as in  $H$ .*

*Proof.* By Lemma 5.15 of [5], it is enough to show the following.

**Claim.** Let  $p$  be a prime number, and let  $h \in K^\times$  be such that  $h^p = g \in G$  and  $h \notin G$ . Then  $X^p - g \in E[X]$  is irreducible, and  $Gh^\mathbb{Z}$ , the subgroup of  $K^\times$  generated by  $G$  and  $h$ , is pure in  $E(h)^\times$ .

*Proof of the Claim.* Since  $h \notin G$  and  $K$  and  $E$  have the same  $p^{\text{th}}$  roots of unity,  $g$  is not a  $p^{\text{th}}$  power in  $E^\times$ . Thus by Theorem 9.1 from [9] the polynomial  $X^p - g$  is irreducible in  $E[X]$ . To show that  $Gh^\mathbb{Z} = \bigcup_{i=0}^{p-1} Gh^i$  is pure in  $E(h)^\times$ , suppose towards a contradiction that  $f \in E(h)^\times$  and  $f^d \in Gh^\mathbb{Z}$  where  $d$  is an integer greater than 1, but  $f \notin Gh^\mathbb{Z}$ . We can reduce to the case that  $d$  is prime and  $f^m \notin G$  for  $1 \leq m < d$ . So by Theorem 9.1 of [9] again,  $X^d - f^d$  is irreducible in  $E[X]$ . As  $E(f) \subseteq E(h)$  and  $[E(h) : E] = p$ , we get  $d = p$ . Let  $\zeta$  be a primitive  $p^{\text{th}}$  root of unity in the algebraic closure of  $K$ . Then  $E(h) \cap E(\zeta) = E$  and  $E(h, \zeta)$  is a cyclic extension of degree  $p$  of  $E(\zeta)$ . Let  $\sigma \in \text{Gal}(E(h, \zeta) | E(\zeta))$  be given by  $\sigma(h) = \zeta h$ . Then  $\sigma(f) = \zeta^k f$  with  $0 < k < p$ . With  $f = c_0 + c_1h + \dots + c_{p-1}h^{p-1}$  and all  $c_i \in E$  this gives

$$\begin{aligned} \sigma(f) &= c_0 + \zeta c_1h + \dots + \zeta^{p-1}c_{p-1}h^{p-1} \\ &= \zeta^k c_0 + \zeta^k c_1h + \dots + \zeta^k c_{p-1}h^{p-1}. \end{aligned}$$

This forces  $c_i = 0$  for all  $i \neq k$ , so  $f = c_k h^k$ , as desired.  $\square$

**Lemma 6.2.** *Suppose  $\mathbf{k}$  is algebraically closed,  $F \supseteq \mathbf{k}$ ,  $\text{trdeg}(F | \mathbf{k}) = 1$ ,  $\mathbf{k}^\times \cap \Gamma = \{1\}$ , and  $\Gamma \subseteq F^\times$  has finite rank. Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* We can assume that  $\Gamma \neq \{1\}$ . Consider the divisible closure

$$H := \{f \in F^\times : f^d \in \mathbf{k}^\times \Gamma \text{ for some positive integer } d\},$$

of  $\mathbf{k}^\times \Gamma$  in  $F^\times$ . Now, as an abelian group,  $\mathbf{k}^\times$  is divisible and hence injective, so we can take a subgroup  $\Gamma' \supseteq \Gamma$  of  $H$  such that  $\mathbf{k}^\times \cap \Gamma' = \{1\}$  and  $\mathbf{k}^\times \Gamma' = H$ . It follows that  $\Gamma'$  is pure in  $F^\times$  and  $\Gamma' / \Gamma$  is a torsion group. Replacing  $\Gamma$  by  $\Gamma'$  we arrange that  $\Gamma$  is pure in  $F^\times$ . Take a finitely generated subgroup  $\Gamma_0$  of  $\Gamma$  such that  $\Gamma / \Gamma_0$  is a torsion group. Then  $E := \mathbf{k}(\Gamma_0)$  is a function field over  $\mathbf{k}$  of one variable. Take a finite subset  $S$  of  $\mathcal{R}(E | \mathbf{k})$  such that all elements of  $\Gamma_0$  are  $S$ -units, and put  $\Gamma_1 := E^\times \cap \Gamma$ . Since  $\Gamma_1 / \Gamma_0$  is a torsion group, all elements of  $\Gamma_1$  are also  $S$ -units. Hence  $\Gamma_1$  is isomorphic to a subgroup of  $\bigoplus_{v \in S} \mathbb{Z}v$ , and is thus finitely generated. Then by Corollary 3.2,  $(\mathbf{k}, \Gamma_1)$  is a Mann pair. Since  $\Gamma$  is pure in  $F^\times$  and  $\mathbf{k}$  contains all roots of unity,  $\Gamma_1$  is pure in  $E^\times$ . Now apply Lemmas 6.1 and 2.1 to get that  $(\mathbf{k}, \Gamma)$  is a Mann pair.  $\square$

**Theorem 6.3.** *Suppose  $\mathbf{k}$  is algebraically closed,  $\mathbf{k}^\times \cap \Gamma = \{1\}$ , and  $\Gamma$  has finite rank. Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Let  $F$  be the algebraic closure of  $\mathbf{k}(\Gamma)$  in  $\Omega$ , and put

$$H := \{f \in F^\times : f^d \in \mathbf{k}^\times \Gamma \text{ for some positive integer } d\},$$

the divisible closure of  $\mathbf{k}^\times \Gamma$  in  $F^\times$ . Now, as an abelian group,  $\mathbf{k}^\times$  is divisible and hence injective, so we can take a subgroup  $\Gamma' \supseteq \Gamma$  of  $H$  such that  $\mathbf{k}^\times \cap \Gamma' = \{1\}$  and  $\mathbf{k}^\times \Gamma' = H$ . It follows that  $\Gamma'$  is divisible and  $\Gamma'/\Gamma$  is a torsion group. So replacing  $\Gamma$  by  $\Gamma'$  if necessary we can assume in the rest of the proof that  $\Gamma$  is divisible. Let  $m := \text{trdeg}(\mathbf{k}(\Gamma)|\mathbf{k})$ , and take a chain

$$\mathbf{k} = K_0 \subseteq K_1 \subseteq \cdots \subseteq K_m = F$$

of algebraically closed subfields of  $\Omega$  such that for  $i = 0, \dots, m-1$  we have  $\text{trdeg}(K_{i+1}|K_i) = 1$ . Put

$$\Gamma_i := \Gamma \cap K_i^\times, \quad i = 1, \dots, m.$$

We claim that  $(\mathbf{k}, \Gamma_i)$  is a Mann pair for  $i = 1, \dots, m$ . For  $i = 1$  this holds by Lemma 6.2, since  $\mathbf{k}(\Gamma_1) \subseteq K_1$  and  $K_1$  has transcendence degree 1 over  $\mathbf{k}$ . Suppose  $1 \leq i < m$  and  $(\mathbf{k}, \Gamma_i)$  is a Mann pair. Since  $\Gamma_i$  is divisible we have a subgroup  $\Delta$  of  $\Gamma_{i+1}$  such that  $\Gamma_{i+1} = \Gamma_i \Delta$  and  $\Gamma_i \cap \Delta = \{1\}$ . Then  $\Delta$  has finite rank and  $K_i^\times \cap \Delta = \{1\}$ , and  $K_i(\Delta) \subseteq K_{i+1}$ , so  $(K_i, \Delta)$  is a Mann pair. It follows from (3) in Section 5 that then  $(\mathbf{k}, \Gamma_i \Delta) = (\mathbf{k}, \Gamma_{i+1})$  is a Mann pair.

This finishes the inductive proof of the claim. For  $i = m$  this claim yields the desired conclusion.  $\square$

In this theorem we cannot replace the finite rank assumption by the weaker condition that  $\Gamma$  has the Mann property, as the following example shows. Take distinct indeterminates  $a_1, x_1, a_2, x_2, a_3, x_3, \dots$  and put

$$\mathbf{k} := \mathbb{Q}(a_1, a_2, a_3, \dots)^{\text{ac}}, \quad K := \mathbf{k}(x_1, x_2, x_3, \dots).$$

Let  $\Gamma$  be the subgroup of  $K^\times$  generated by  $x_1, y_1, x_2, y_2, x_3, y_3, \dots$  where  $y_i := 1 - a_i x_i$ . Then  $\mathbf{k}$  is algebraically closed,  $\mathbf{k}^\times \cap \Gamma = \{1\}$ , but  $(\mathbf{k}, \Gamma)$  is not a Mann pair, since  $a_i x_i + y_i = 1$  for all  $i$ . On the other hand,  $\Gamma$  has the Mann property because  $x_1, y_1, x_2, y_2, \dots$  are algebraically independent over  $\mathbb{Q}$  (but not over  $\mathbf{k}$ ).

The condition  $\mathbf{k}^\times \cap \Gamma = \{1\}$  in the theorem can be relaxed:

**Corollary 6.4.** *Suppose  $\mathbf{k}$  is algebraically closed,  $\mathbf{k}^\times \cap \Gamma$  is finite and  $\Gamma$  has finite rank. Then  $(\mathbf{k}, \Gamma)$  is a Mann pair.*

*Proof.* Put  $d := |\mathbf{k}^\times \cap \Gamma|$ . The hypothesis implies that then the subgroup  $\Delta := \{\gamma^d : \gamma \in \Gamma\}$  of  $\Gamma$  is of finite rank and has finite index in  $\Gamma$ , and that  $\mathbf{k}^\times \cap \Delta = \{1\}$ . Hence by the theorem,  $(\mathbf{k}^{\text{ac}}, \Delta)$  is a Mann pair, and thus  $(\mathbf{k}, \Gamma)$  is a Mann pair by item (2) of the previous section.  $\square$

**Another proof.** The referee mentioned that Theorem 6.3 can be obtained from [7]. Here is a sketch of how. With the assumptions of Theorem 6.3 we can arrange in addition that  $\Omega$  is equipped with a derivation making it a differentially closed field with  $\mathbf{k}$  as its field of constants. Below, “definable”, “Morley rank”, and “Morley degree” are with respect to  $\Omega^{\text{eq}}$ , with  $\Omega$  viewed as a differentially closed field.

The derivation of  $\Omega$  yields the logarithmic derivative map  $\text{ld}$  as a definable group morphism

$$x \mapsto x'/x : \mathbb{G}_m = \Omega^\times \rightarrow \mathbb{G}_a = \Omega,$$

with kernel  $\mathbf{k}^\times$ . Let  $\gamma_1, \dots, \gamma_r \in \Gamma$  with  $r \in \mathbb{N}$  generate a subgroup  $\Gamma_0$  such that  $\Gamma/\Gamma_0$  is a torsion group. Then

$$\text{ld}(\Gamma) \subseteq \mathbb{Q} \cdot \text{ld}(\gamma_1) + \dots + \mathbb{Q} \cdot \text{ld}(\gamma_r) \subseteq \mathbf{k} \cdot \text{ld}(\gamma_1) + \dots + \mathbf{k} \cdot \text{ld}(\gamma_r) =: V.$$

Put  $G := \text{ld}^{-1}(V)$ , a definable subgroup of  $\mathbb{G}_m$  of finite Morley rank with  $\mathbf{k}^\times \subseteq G$  and  $\Gamma \subseteq G$ . Let  $n \geq 2$  and let  $X \subseteq G^n$  be the set of nondegenerate solutions of the equation  $x_1 + \dots + x_n = 0$  in  $G$ ; by Lemma 2.1 it is enough to obtain a finite set  $F(n) \subseteq G^n$  such that

$$X \subseteq \{(c_1 g_1, \dots, c_n g_n) : c_1, \dots, c_n \in \mathbf{k}^\times, (g_1, \dots, g_n) \in F(n)\}.$$

The semiabelian variety  $\mathbb{G}_m^n$  and its diagonal algebraic subgroup  $\Delta := \{(g, \dots, g) : g \in \mathbb{G}_m\}$  are defined over  $\mathbf{k}$ , which gives the semiabelian variety  $A := \mathbb{G}_m^n/\Delta$  over  $\mathbf{k}$ . Let  $\bar{G} \subseteq A$  be the image of  $G^n$  under the natural map  $\mathbb{G}_m^n \rightarrow A$ , so  $\bar{G}$  is a definable subgroup of  $A$  of finite Morley rank containing  $A(\mathbf{k})$ . For  $x \in G^n$ , let  $\bar{x}$  be its image in  $\bar{G}$ , and let  $\bar{X} := \{\bar{x} : x \in X\} \subseteq \bar{G}$ . It is now an exercise to show that each definable subset of  $\bar{X}$  of Morley degree 1 has trivial model-theoretic stabilizer in  $\bar{G}$ , as defined in [7]. This allows us to apply Corollary 2.7 of [7] inductively to obtain that  $\bar{X}$  is covered by finitely many cosets of  $A(\mathbf{k})$ ; this gives the finite set  $F(n) \subseteq G^n$  as desired.

This proof does not seem to have the same kind of effectiveness as the proof based on the Brownawell-Masser height bound.

## 7. DEFINABLE SETS IN MANN PAIRS

Let  $\mathcal{L}$  be the language of rings augmented by two distinct unary relation symbols. Let  $T$  be the  $\mathcal{L}$ -theory whose models are the structures  $(\Omega, \mathbf{k}, \Gamma)$  where  $\Omega$  is an algebraically closed field with a subfield  $\mathbf{k}$ , and a subgroup  $\Gamma$  of  $\Omega^\times$ . Let  $\mathcal{L}_\Sigma^{\text{f,g}}$  be the 2-sorted language, with sorts  $\text{f}, \text{g}$  (the *field sort* and the *group sort*), and with the following nonlogical symbols:

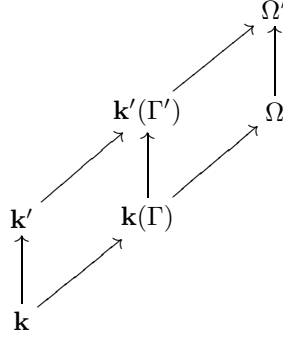
- constant symbols 0 and 1 of sort  $\text{f}$ ,
- a unary function symbol  $-$  of sort  $(\text{f}; \text{f})$ ,
- binary function symbols  $+$  and  $\cdot$  of sort  $(\text{f}, \text{f}; \text{f})$ ,
- a constant symbol 1 of sort  $\text{g}$ ,
- a unary function symbol  $^{-1}$  of sort  $(\text{g}; \text{g})$
- a binary function symbol  $\cdot$  of sort  $(\text{g}, \text{g}; \text{g})$ ,
- for each  $n \geq 1$ , a  $2n$ -ary relation symbol  $\Sigma_n$  of sort  $(\text{f}, \dots, \text{f}, \text{g}, \dots, \text{g})$  ( $n$  places of sort  $\text{f}$  and  $n$  places of sort  $\text{g}$ ).

Of course, “1 used as a symbol of sort  $\text{f}$ ” is different from “1 used as a symbol of sort  $\text{g}$ ” and likewise with the multiplication symbol. For a model  $(\Omega, \mathbf{k}, \Gamma)$  of  $T$  we construe  $(\mathbf{k}, \Gamma)$  as an  $\mathcal{L}_\Sigma^{\text{f,g}}$ -structure by interpreting the symbols in the obvious way; in particular, each  $\Sigma_n$  is interpreted as the previously defined  $\Sigma_n(\mathbf{k}, \Gamma) \subseteq \mathbf{k}^n \times \Gamma^n$ .

In the next result we do *not* assume that  $(\mathbf{k}, \Gamma)$  is a Mann pair.

**Lemma 7.1.** *Let  $(\Omega, \mathbf{k}, \Gamma) \models T$ . Then every subset of  $\mathbf{k}^m \times \Gamma^n$  definable in  $(\Omega, \mathbf{k}, \Gamma)$  is definable in the  $\mathcal{L}_\Sigma^{\text{f,g}}$ -structure  $(\mathbf{k}, \Gamma)$ .*

*Proof.* Take an  $|\Omega|^+$ -saturated elementary extension  $(\Omega', \mathbf{k}', \Gamma')$  of  $(\Omega, \mathbf{k}, \Gamma)$ . It is easy to check that then  $\mathbf{k}'(\Gamma')$  and  $\Omega$  are linearly disjoint over  $\mathbf{k}(\Gamma)$ :



Let  $\vec{a}, \vec{b} \in (\mathbf{k}')^m$  and  $\vec{\alpha}, \vec{\beta} \in (\Gamma')^n$  be such that

$$\text{tp}_{(\mathbf{k}', \Gamma')}((\vec{a}, \vec{\alpha}) | (\mathbf{k}, \Gamma)) = \text{tp}_{(\mathbf{k}', \Gamma')}((\vec{b}, \vec{\beta}) | (\mathbf{k}, \Gamma)).$$

It suffices to prove that then

$$\text{tp}_{(\Omega', \mathbf{k}', \Gamma')}((\vec{a}, \vec{\alpha}) | (\Omega, \mathbf{k}, \Gamma)) = \text{tp}_{(\Omega', \mathbf{k}', \Gamma')}((\vec{b}, \vec{\beta}) | (\Omega, \mathbf{k}, \Gamma)).$$

The assumption on  $(\vec{a}, \vec{\alpha})$  and  $(\vec{b}, \vec{\beta})$  gives an automorphism of  $(\mathbf{k}', \Gamma')$  over  $(\mathbf{k}, \Gamma)$  that takes  $(\vec{a}, \vec{\alpha})$  to  $(\vec{b}, \vec{\beta})$ . This automorphism preserves the  $\Sigma$ -relations, so it extends to a field automorphism of  $\mathbf{k}'(\Gamma')$  over  $\mathbf{k}(\Gamma)$ , which extends further to a field automorphism of  $\Omega'$  over  $\Omega$  by linear disjointness of  $\mathbf{k}'(\Gamma')$  and  $\Omega$  over  $\mathbf{k}(\Gamma)$ . This yields the desired equality of types.  $\square$

The implication (3)  $\Rightarrow$  (2) of Theorem 1.2 is trivial, so in view of Lemma 4.2 and Proposition 4.5, this theorem will be established once we prove the part (1)  $\Rightarrow$  (3), which is the next result.

**Proposition 7.2.** *Suppose  $(\Omega, \mathbf{k}, \Gamma) \models T$  and  $(\mathbf{k}, \Gamma)$  is a Mann pair. Then every subset of  $\mathbf{k}^m \times \Gamma^n$  that is definable in  $(\Omega, \mathbf{k}, \Gamma)$  is a finite union of sets  $X \times Y$  with  $X \subseteq \mathbf{k}^m$  definable in the field  $\mathbf{k}$  and  $Y \subseteq \Gamma^n$  definable in the group  $\Gamma$ .*

*Proof.* As in the proof of the previous lemma we take an  $|\Omega|^+$ -saturated elementary extension  $(\Omega', \mathbf{k}', \Gamma')$  of  $(\Omega, \mathbf{k}, \Gamma)$  and tuples  $\vec{a}, \vec{b} \in (\mathbf{k}')^m$  and  $\vec{\alpha}, \vec{\beta} \in (\Gamma')^n$  such that

$$\text{tp}_{\mathbf{k}'}(\vec{a} | \mathbf{k}) = \text{tp}_{\mathbf{k}'}(\vec{b} | \mathbf{k}) \text{ and } \text{tp}_{\Gamma'}(\vec{\alpha} | \Gamma) = \text{tp}_{\Gamma'}(\vec{\beta} | \Gamma).$$

By Lemma 7.1 it is enough to show that then

$$\text{tp}_{(\mathbf{k}', \Gamma')}((\vec{a}, \vec{\alpha}) | (\mathbf{k}, \Gamma)) = \text{tp}_{(\mathbf{k}', \Gamma')}((\vec{b}, \vec{\beta}) | (\mathbf{k}, \Gamma)).$$

The assumption on  $\vec{a}$  and  $\vec{b}$  gives an automorphism  $\sigma$  of  $\mathbf{k}'$  over  $\mathbf{k}$  such that  $\sigma(\vec{a}) = \vec{b}$ , and the assumption on  $\vec{\alpha}$  and  $\vec{\beta}$  gives an automorphism  $\phi$  of  $\Gamma'$  over  $\Gamma$  such that  $\phi(\vec{\alpha}) = \vec{\beta}$ . It remains to show that this gives an automorphism  $(\sigma, \phi)$  of the  $\mathcal{L}_{\Sigma}^{\text{f.g.}}$ -structure  $(\mathbf{k}', \Gamma')$ . This in turn reduces to establishing the following: Let  $N$  be a positive integer and  $\vec{c}' \in (\mathbf{k}')^N$  and  $\vec{\gamma}' \in (\Gamma')^N$ . Then

$$(\mathbf{k}', \Gamma') \models \Sigma_N(\vec{c}', \vec{\gamma}') \iff (\mathbf{k}', \Gamma') \models \Sigma_N(\sigma(\vec{c}'), \phi(\vec{\gamma}')).$$

We prove the forward implication. (The backward implication follows in the same way.) Assume  $(\mathbf{k}', \Gamma') \models \Sigma_N(\vec{c}', \vec{\gamma}')$ . We have  $\Sigma_N(\mathbf{k}, \Gamma) = \bigcup_{i \in I} P_i \times Q_i$  where  $I$  is

finite, each  $P_i \subseteq \mathbf{k}^N$  is definable in the field  $\mathbf{k}$  and each  $Q_i \subseteq \Gamma^N$  is definable in the group  $\Gamma$ . Then  $\Sigma_N(\mathbf{k}', \Gamma') = \bigcup_{i \in I} P'_i \times Q'_i$  where  $P'_i \subseteq (\mathbf{k}')^N$  is defined in  $\mathbf{k}'$  by any formula with parameters from  $\mathbf{k}$  that defines  $P_i$  in the field  $\mathbf{k}$ , and  $Q'_i \subseteq (\Gamma')^N$  is defined in  $\Gamma'$  by any formula with parameters from  $\Gamma$  that defines  $Q_i$  in the group  $\Gamma$ . Take  $i \in I$  such that  $\vec{c}' \in P'_i$  and  $\vec{\gamma}' \in Q'_i$ . It is clear that then  $\sigma(\vec{c}') \in P_i$  and  $\phi(\vec{\gamma}') \in Q_i$ , so  $(\mathbf{k}', \Gamma') \models \Sigma_N(\sigma(\vec{c}'), \phi(\vec{\gamma}'))$ , as desired.  $\square$

## 8. THE ELEMENTARY THEORY OF $\Omega$ WITH A MANN PAIR

In this section we discuss various model-theoretic properties of models  $(\Omega, \mathbf{k}, \Gamma)$  of  $T$  where  $(\mathbf{k}, \Gamma)$  is a Mann pair.

**8.1. Elementary equivalence and smallness.** We first prove that the theory of a model  $(\Omega, \mathbf{k}, \Gamma)$  of  $T$  is determined by the  $\mathcal{L}_{\Sigma}^{\text{f}, \text{g}}$ -theory of  $(\mathbf{k}, \Gamma)$  whenever  $\mathbf{k} \cup \Gamma$  is small in  $\Omega$ . For this we do not need  $(\mathbf{k}, \Gamma)$  to be a Mann pair. (A definition and basic properties of *small* are in [5], Section 2.)

**Lemma 8.1.** *Let  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  be models of  $T$  such that  $\mathbf{k}_i \cup \Gamma_i$  is small in  $\Omega_i$  for  $i = 1, 2$ , and  $(\mathbf{k}_1, \Gamma_1) \equiv (\mathbf{k}_2, \Gamma_2)$  as  $\mathcal{L}_{\Sigma}^{\text{f}, \text{g}}$ -structures. Then  $(\Omega_1, \mathbf{k}_1, \Gamma_1) \equiv (\Omega_2, \mathbf{k}_2, \Gamma_2)$ .*

*Proof.* It is harmless to assume CH (the continuum hypothesis), so we can reduce to the case that  $(\Omega_i, \mathbf{k}_i, \Gamma_i)$  is saturated of cardinality  $\aleph_1$  for  $i = 1, 2$ . Then we have an  $\mathcal{L}_{\Sigma}^{\text{f}, \text{g}}$ -isomorphism

$$(\iota_f, \iota_g) : (\mathbf{k}_1, \Gamma_1) \rightarrow (\mathbf{k}_2, \Gamma_2).$$

This yields a ring isomorphism  $\mathbf{k}_1[\Gamma_1] \rightarrow \mathbf{k}_2[\Gamma_2]$  extending both  $\iota_f$  and  $\iota_g$ , and hence a field isomorphism

$$\iota : \mathbf{k}_1(\Gamma_1)^{\text{ac}} \rightarrow \mathbf{k}_2(\Gamma_2)^{\text{ac}}.$$

By the smallness assumption the transcendence degree of  $\Omega_i$  over  $\mathbf{k}_i(\Gamma_i)^{\text{ac}}$  is  $\aleph_1$  for  $i = 1, 2$ . Thus we can extend  $\iota$  to a field isomorphism  $\Omega_1 \rightarrow \Omega_2$ , which is then an  $\mathcal{L}$ -isomorphism.  $\square$

Let  $\mathbf{k}$  be a subfield of the algebraically closed field  $\Omega$ . A theorem of E. Artin says that if  $1 < [\Omega : \mathbf{k}] < \infty$ , then  $\mathbf{k}$  is real closed with  $\Omega = \mathbf{k}(\sqrt{-1})$ . As noted at the end of Section 2 in [5] it follows that by work of Keisler [8] the following are equivalent:

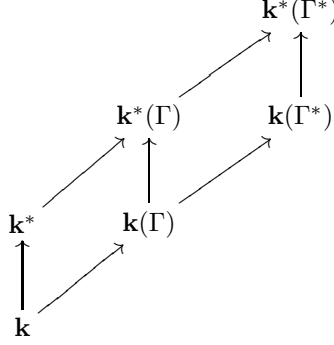
- (1)  $\mathbf{k}$  is small in  $\Omega$ ;
- (2)  $[\Omega : \mathbf{k}] > 2$ ;
- (3)  $[\Omega : \mathbf{k}] = \infty$ .

We use this equivalence in the proof of the next lemma, which is analogous to Lemma 6.1 in [5]. (The proofs are not at all similar.)

**Lemma 8.2.** *Assume  $(\Omega, \mathbf{k}, \Gamma) \models T$  where  $[\Omega : \mathbf{k}] > 2$  and  $(\mathbf{k}, \Gamma)$  is a Mann pair. Then  $\mathbf{k} \cup \Gamma$  is small in  $\Omega$ .*

*Proof.* We can assume that  $\Gamma$  is infinite. Take a proper elementary extension  $(\Omega^*, \mathbf{k}^*, \Gamma^*)$  of  $(\Omega, \mathbf{k}, \Gamma)$ . Using that  $(\mathbf{k}, \Gamma)$  is a Mann pair, it follows easily that

the subfields  $\mathbf{k}^*$  and  $\mathbf{k}(\Gamma^*)$  of  $\Omega^*$  are linearly disjoint over  $\mathbf{k}$ . See the diagram below where all arrows are inclusions:



It follows that  $\mathbf{k}^*(\Gamma)$  and  $\mathbf{k}(\Gamma^*)$  are linearly disjoint over  $\mathbf{k}(\Gamma)$ . Among the subsets of  $\Gamma^*$  that are multiplicatively independent over  $\Gamma$ , take one, say  $B$ , that is maximal. It follows from Lemma 5.12 in [5] that  $B$  is algebraically independent over  $\mathbf{k}(\Gamma)$ . Hence  $B$  is algebraically independent over  $\mathbf{k}^*(\Gamma)$ . The maximality property of  $B$  guarantees that  $B \neq \emptyset$  and for every  $\gamma \in \Gamma^*$  there is a positive integer  $d$  with  $\gamma^d \in \mathbf{k}^*(\Gamma)(B)$ . Since  $\mathbf{k}^*(\Gamma)(B)$  is a non-trivial purely transcendental extension of  $\mathbf{k}^*(\Gamma)$  it follows that  $\mathbf{k}^*(\Gamma^*, \sqrt{-1})$  is not algebraically closed, and so  $\mathbf{k}^*(\Gamma^*, \sqrt{-1}) \neq \Omega^*$ . Hence by the remark preceding this lemma  $\mathbf{k}^* \cup \Gamma^*$  is small in  $\Omega^*$ , and thus  $\mathbf{k} \cup \Gamma$  is small in  $\Omega$ ; see Section 2 in [5].  $\square$

## 8.2. Stability.

**Proposition 8.3.** *Let  $(\Omega, \mathbf{k}, \Gamma) \models T$  be such that  $\mathbf{k}$  is algebraically closed and  $(\mathbf{k}, \Gamma)$  is a Mann pair. Then  $(\Omega, \mathbf{k}, \Gamma)$  is stable, and if  $\Gamma$  is divisible, then  $(\Omega, \mathbf{k}, \Gamma)$  is  $\omega$ -stable.*

*Proof.* Take an infinite cardinal  $\kappa$  such that the abelian group  $\Gamma$  is  $\kappa$ -stable. We show that then  $(\Omega, \mathbf{k}, \Gamma)$  is  $\kappa$ -stable. We can assume  $\mathbf{k} \neq \Omega$ , and that  $|\Omega| = \kappa$ . Take a  $\kappa^+$ -saturated elementary extension  $(\Omega', \mathbf{k}', \Gamma')$  of  $(\Omega, \mathbf{k}, \Gamma)$ .

By the proofs of Lemma 7.1 and Proposition 7.2, the type of an element of  $\mathbf{k}'$  over  $\Omega$  in  $(\Omega', \mathbf{k}', \Gamma')$  is determined by its type over  $\mathbf{k}$  in the field  $\mathbf{k}'$ . Likewise, the type of an element of  $\Gamma'$  over  $\Omega$  in  $(\Omega', \mathbf{k}', \Gamma')$  is determined by its type over  $\Gamma$  in the group  $\Gamma'$ .

Now let  $t \in \Omega(\mathbf{k}' \cup \Gamma')^{\text{ac}}$ , say  $t \in \Omega(\vec{a}, \vec{\gamma})^{\text{ac}}$  with  $\vec{a} \in (\mathbf{k}')^m$  and  $\vec{\gamma} \in (\Gamma')^n$ . Then  $\text{tp}_{(\Omega', \mathbf{k}', \Gamma')} (t | \Omega)$  is determined by  $\text{tp}_{\mathbf{k}'}(\vec{a} | \mathbf{k})$ ,  $\text{tp}_{\Gamma'}(\vec{\gamma} | \Gamma)$  and the specification of a polynomial  $P(X, Y, T) \in \Omega[X, Y, T]$  where  $X = (X_1, \dots, X_m)$ ,  $Y = (Y_1, \dots, Y_n)$  and  $T$  is a single indeterminate such that  $P(\vec{a}, \vec{\gamma}, T) \in \Omega(\vec{a}, \vec{\gamma})[T]$  is irreducible and  $P(\vec{a}, \vec{\gamma}, t) = 0$ .

Finally, by the last argument of the proof of Lemma 8.1, all elements of  $\Omega'$  outside  $\Omega(\mathbf{k}' \cup \Gamma')^{\text{ac}}$  realize the same type in  $(\Omega', \mathbf{k}', \Gamma')$  over  $\Omega$ .

Hence we have at most  $\kappa$  many different 1-types in  $(\Omega', \mathbf{k}', \Gamma')$  over  $\Omega$ .  $\square$

**Remark.** One can show that if  $(\Omega, \mathbf{k}, \Gamma)$  is a model of  $T$  and  $(\mathbf{k}, \Gamma)$  is a Mann pair, then the subsets  $\mathbf{k}$  and  $\Gamma$  of  $\Omega$  are definable in the structure  $(\Omega, \mathbf{k} \cup \Gamma)$ . Using this fact, Proposition 8.3 also follows from Fact 2.1 and Theorem 4.8 in [1].

**8.3. Axiomatizing  $(\Omega, \mathbf{k}, \Gamma)$ .** Our goal here is to show that if  $(\Omega, \mathbf{k}, \Gamma)$  is a model of  $T$  and  $(\mathbf{k}, \Gamma)$  is a Mann pair with  $\mathbf{k}$  small in  $\Omega$ , then the elementary theory of  $(\Omega, \mathbf{k}, \Gamma)$  is completely determined by the elementary theories of the field  $\mathbf{k}$  and of the group  $\Gamma$ . We achieve this after adding names for enough elements of  $\mathbf{k}$  and  $\Gamma$  to witness that  $(\mathbf{k}, \Gamma)$  is a Mann pair.

Fix a model  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$  of  $T$  such that  $(\mathbf{k}_0, \Gamma_0)$  is a Mann pair. For each  $n \geq 2$ , fix a finite subset  $\Gamma_0(n)$  of  $\Gamma_0^n$  such that

$$\Sigma_n^{\text{nd}}(\mathbf{k}_0, \Gamma_0) = \bigcup_{\vec{\gamma} \in \Gamma_0(n)} \Sigma_n^{\text{nd}}(\mathbf{k}_0, \Gamma_0; \vec{\gamma}) \times \Gamma_0 \vec{\gamma},$$

and for each  $\vec{\gamma} \in \Gamma_0(n)$ , fix a basis  $B(\vec{\gamma}) \subseteq \mathbf{k}_0^n$  of the  $\mathbf{k}_0$ -linear subspace  $\Sigma_n(\mathbf{k}_0, \Gamma_0; \vec{\gamma})$  of  $\mathbf{k}_0^n$ . Let  $\mathcal{L}(\mathbf{k}_0, \Gamma_0)$  be the language  $\mathcal{L}$  augmented by names for the elements of  $\mathbf{k}_0 \cup \Gamma_0$ .

In the following definition and subsequent remarks  $(\Omega, \mathbf{k}, \Gamma)$  ranges over models of  $T$  that contain  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$  as a substructure. We construe such  $(\Omega, \mathbf{k}, \Gamma)$  as an  $\mathcal{L}(\mathbf{k}_0, \Gamma_0)$ -structure in the obvious way, and say that  $(\Omega, \mathbf{k}, \Gamma)$  *satisfies the Mann-axioms of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$*  if for each  $n \geq 2$ :

- (1)  $\Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma) = \bigcup_{\vec{\gamma} \in \Gamma_0(n)} \Sigma_n^{\text{nd}}(\mathbf{k}, \Gamma; \vec{\gamma}) \times \Gamma \vec{\gamma}$ ;
- (2) for each  $\vec{\gamma} \in \Gamma_0(n)$ , the  $\mathbf{k}$ -linear subspace  $\Sigma_n(\mathbf{k}, \Gamma; \vec{\gamma})$  of  $\mathbf{k}^n$  is generated by  $B(\vec{\gamma})$ ;

**Remarks.** The reason for this terminology is that we have a set  $\text{Mann}(\mathbf{k}_0, \Gamma_0)$  of sentences in the language  $\mathcal{L}(\mathbf{k}_0, \Gamma_0)$  such that for all  $(\Omega, \mathbf{k}, \Gamma)$  as above,

$$(\Omega, \mathbf{k}, \Gamma) \models \text{Mann}(\mathbf{k}_0, \Gamma_0) \\ \iff$$

$(\Omega, \mathbf{k}, \Gamma)$  satisfies the Mann axioms of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$ .

In particular, if  $(\Omega, \mathbf{k}, \Gamma)$  is an elementary extension of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$ , then  $(\Omega, \mathbf{k}, \Gamma)$  satisfies the Mann axioms of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$ .

Let  $\mathcal{L}^f := \{0, 1, -, +, \cdot\}$  be the one-sorted language whose symbols are those of  $\mathcal{L}_{\Sigma}^{f, g}$  involving only sort  $f$ , and let  $\mathcal{L}^f(\mathbf{k}_0)$  be  $\mathcal{L}^f$  augmented by names for the elements of  $\mathbf{k}_0$ . Let  $\mathcal{L}^g := \{1, ^{-1}, \cdot\}$  be the one-sorted language whose symbols are those of  $\mathcal{L}_{\Sigma}^{f, g}$  involving only sort  $g$ , and let  $\mathcal{L}^g(\Gamma_0)$  be  $\mathcal{L}^g$  augmented by names for the elements of  $\Gamma_0$ . Let  $n \geq 1$ , let  $x = (x_1, \dots, x_n)$  be a tuple of distinct  $f$ -variables, and let  $y = (y_1, \dots, y_n)$  be a tuple of distinct  $g$ -variables. A careful look at the proof of Lemma 4.2 for  $\vec{r} = (1, \dots, 1)$  yields the following: there are quantifier-free  $\mathcal{L}^f(\mathbf{k}_0)$ -formulas  $\phi_1(x), \dots, \phi_m(x)$  and quantifier-free  $\mathcal{L}^g(\Gamma_0)$ -formulas  $\psi_1(y), \dots, \psi_m(y)$  such that for all  $(\Omega, \mathbf{k}, \Gamma) \models \text{Mann}(\mathbf{k}_0, \Gamma_0)$ ,

$$\Sigma_n(\mathbf{k}^n, \Gamma^n) = \bigcup_{i=1}^m \phi_i(\mathbf{k}^n) \times \psi_i(\Gamma^n).$$

This uniformity is crucial in the proof of the next result.

**Theorem 8.4.** *Let  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  be models of  $T$  such that*

- (1)  $[\Omega_1 : \mathbf{k}_1] > 2$  and  $[\Omega_2 : \mathbf{k}_2] > 2$ ;
- (2)  $(\Omega_0, \mathbf{k}_0, \Gamma_0) \subseteq (\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_0, \mathbf{k}_0, \Gamma_0) \subseteq (\Omega_2, \mathbf{k}_2, \Gamma_2)$ ;
- (3)  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  satisfy the Mann-axioms of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$ .

*Then:  $(\Omega_1, \mathbf{k}_1, \Gamma_1) \equiv_{\mathbf{k}_0 \cup \Gamma_0} (\Omega_2, \mathbf{k}_2, \Gamma_2) \iff \mathbf{k}_1 \equiv_{\mathbf{k}_0} \mathbf{k}_2$  and  $\Gamma_1 \equiv_{\Gamma_0} \Gamma_2$ .*

*Proof.* The forward direction is clear. For the converse, assume  $\mathbf{k}_1 \equiv_{\mathbf{k}_0} \mathbf{k}_2$  and  $\Gamma_1 \equiv_{\Gamma_0} \Gamma_2$ . Without loss we can also assume that  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  are  $\kappa$ -saturated, where  $\kappa > |\mathbf{k}_0| + |\Gamma_0|$ .

We construct a back-and-forth system between the  $\mathcal{L}_{\Sigma}^{\mathbf{f}, \mathbf{g}}$ -structures  $(\mathbf{k}_1, \Gamma_1)$  and  $(\mathbf{k}_2, \Gamma_2)$ . Let  $\mathcal{S}_1$  be the set of substructures  $(\mathbf{k}'_1, \Gamma'_1)$  of  $(\mathbf{k}_1, \Gamma_1)$  that extend  $(\mathbf{k}_0, \Gamma_0)$  and have cardinality  $< \kappa$  (so  $\mathbf{k}'_1$  is a subring, not necessarily a subfield, of  $\mathbf{k}_1$ , and  $\Gamma'_1$  is a subgroup of  $\Gamma_1$ ). Define  $\mathcal{S}_2$  likewise, with  $(\mathbf{k}_2, \Gamma_2)$  in place of  $(\mathbf{k}_1, \Gamma_1)$ , and let  $\mathcal{I}$  be the set of isomorphisms

$$\iota = (\iota_{\mathbf{f}}, \iota_{\mathbf{g}}) : (\mathbf{k}'_1, \Gamma'_1) \rightarrow (\mathbf{k}'_2, \Gamma'_2)$$

where  $(\mathbf{k}'_i, \Gamma'_i) \in \mathcal{S}_i$  for  $i = 1, 2$ , such that  $\iota$  is the identity on  $(\mathbf{k}_0, \Gamma_0)$ ,  $\iota_{\mathbf{f}} : \mathbf{k}'_1 \rightarrow \mathbf{k}'_2$  is a partial elementary map from  $\mathbf{k}_1$  to  $\mathbf{k}_2$ , and  $\iota_{\mathbf{g}} : \Gamma'_1 \rightarrow \Gamma'_2$  is a partial elementary map from  $\Gamma_1$  to  $\Gamma_2$ . It is clear that the identity map of  $(\mathbf{k}_0, \Gamma_0)$  belongs to  $\mathcal{I}$ .

Next we show that  $\mathcal{I}$  is a back-and-forth system. By symmetry it is enough to show that we can go forth. Let

$$\iota = (\iota_{\mathbf{f}}, \iota_{\mathbf{g}}) : (\mathbf{k}'_1, \Gamma'_1) \rightarrow (\mathbf{k}'_2, \Gamma'_2)$$

be in  $\mathcal{I}$ . Replacing  $\mathbf{k}'_1$  and  $\mathbf{k}'_2$  by their fraction fields inside  $\mathbf{k}_1$  and  $\mathbf{k}_2$  and extending  $\iota_{\mathbf{f}}$  accordingly, without changing  $\iota_{\mathbf{g}}$ , we arrange that  $\mathbf{k}'_1$  and  $\mathbf{k}'_2$  are subfields of  $\mathbf{k}_1$  and  $\mathbf{k}_2$ .

Let  $a \in \mathbf{k}_1 \setminus \mathbf{k}'_1$  be given. Using saturation we can take  $b \in \mathbf{k}_2 \setminus \mathbf{k}'_2$  and a field isomorphism  $j : \mathbf{k}'_1(a) \rightarrow \mathbf{k}'_2(b)$  that extends  $\iota_{\mathbf{f}}$ , sends  $a$  to  $b$ , and is a partial elementary map from  $\mathbf{k}_1$  to  $\mathbf{k}_2$ . It is obvious that  $(\mathbf{k}'_1(a), \Gamma'_1) \in \mathcal{S}_1$  and  $(\mathbf{k}'_2(b), \Gamma'_2) \in \mathcal{S}_2$ , and it follows easily from the remark just before the lemma that  $(j, \iota_{\mathbf{g}}) \in \mathcal{I}$ .

Now let  $\alpha \in \Gamma_1 \setminus \Gamma'_1$ . By saturation we can find  $\beta \in \Gamma_2 \setminus \Gamma'_2$  and a group isomorphism  $h : \alpha^{\mathbb{Z}}\Gamma'_1 \rightarrow \beta^{\mathbb{Z}}\Gamma'_2$  from  $\Gamma_1$  to  $\Gamma_2$  that extends  $\iota_{\mathbf{g}}$ , sends  $\alpha$  to  $\beta$ , and is partial elementary map from  $\Gamma_1$  to  $\Gamma_2$ . It is obvious that  $(\mathbf{k}'_1, \alpha^{\mathbb{Z}}\Gamma'_1) \in \mathcal{S}_1$  and  $(\mathbf{k}'_2, \beta^{\mathbb{Z}}\Gamma'_2) \in \mathcal{S}_2$ . It follows easily from the remark just before the lemma that  $(\iota_{\mathbf{f}}, h) : (\mathbf{k}'_1, \alpha^{\mathbb{Z}}\Gamma'_1) \rightarrow (\mathbf{k}'_2, \beta^{\mathbb{Z}}\Gamma'_2)$  belongs to  $\mathcal{I}$ .

We have now shown that  $\mathcal{I}$  is a nonempty back-and-forth system. It follows that  $(\mathbf{k}_1, \Gamma_1) \equiv_{(\mathbf{k}_0, \Gamma_0)} (\mathbf{k}_2, \Gamma_2)$ . From (1), (3), and Lemma 8.2 we obtain that  $\mathbf{k}_i \cup \Gamma_i$  is small in  $\Omega_i$  for  $i = 1, 2$ . Then a proof like that of Lemma 8.1 yields

$$(\Omega_1, \mathbf{k}_1, \Gamma_1) \equiv_{\mathbf{k}_0 \cup \Gamma_0} (\Omega_2, \mathbf{k}_2, \Gamma_2) \iff \mathbf{k}_1 \equiv_{\mathbf{k}_0} \mathbf{k}_2 \text{ and } \Gamma_1 \equiv_{\Gamma_0} \Gamma_2,$$

as desired. □

For algebraically closed  $\mathbf{k}_i$  this result takes the following form:

**Corollary 8.5.** *Let  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  be models of  $T$  such that*

- (1)  $\mathbf{k}_1$  and  $\mathbf{k}_2$  are algebraically closed,  $\mathbf{k}_1 \neq \Omega_1$ ,  $\mathbf{k}_2 \neq \Omega_2$ ;
- (2)  $(\Omega_0, \mathbf{k}_0, \Gamma_0) \subseteq (\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_0, \mathbf{k}_0, \Gamma_0) \subseteq (\Omega_2, \mathbf{k}_2, \Gamma_2)$ ;
- (3)  $(\Omega_1, \mathbf{k}_1, \Gamma_1)$  and  $(\Omega_2, \mathbf{k}_2, \Gamma_2)$  satisfy the Mann axioms of  $(\Omega_0, \mathbf{k}_0, \Gamma_0)$ .

*Then:*  $(\Omega_1, \mathbf{k}_1, \Gamma_1) \equiv_{\mathbf{k}_0 \cup \Gamma_0} (\Omega_2, \mathbf{k}_2, \Gamma_2) \iff \Gamma_1 \equiv_{\Gamma_0} \Gamma_2$ .

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